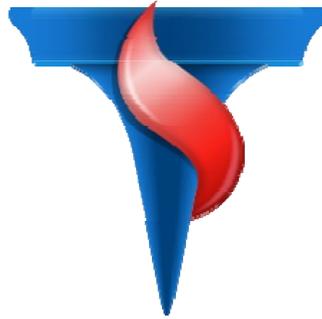


**NT-MDT**  
Spectrum Instruments



Scan<sup>T</sup>™

a Shortcut to Reliable AFM results

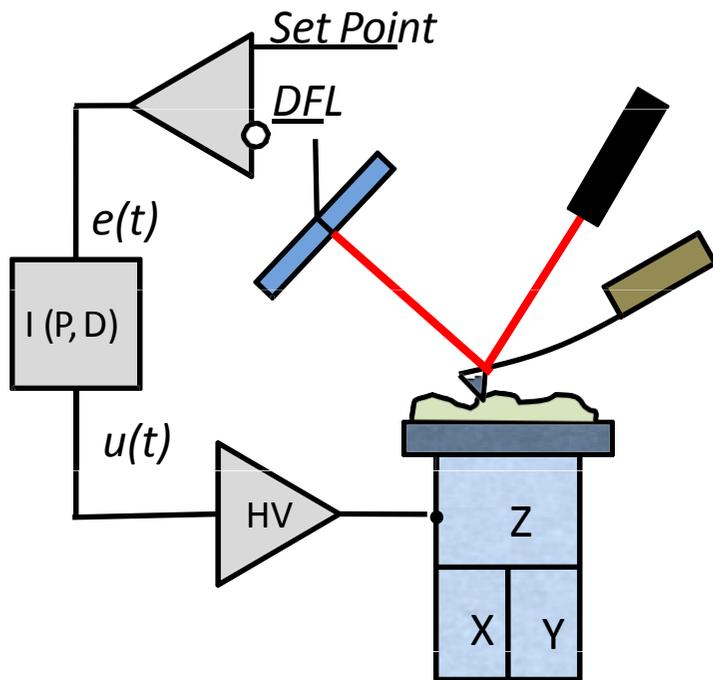
Dr. Vyacheslav Polyakov,  
Director of R&D

# Agenda

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- Introduction
- Motivation for the development of ScanT™ and RapidScan™ technologies
- Automated optimization of scanning parameters in tapping mode AFM: physical background, basics of the algorithms and examples of application
- Rapid scanning
- Summary

# Intro: Atomic Force Microscopy



- 3D-imaging of surface topography with (sub-)nm spatial resolution
- Imaging of nanomechanical, electrical, magnetic and other surface properties with nm-scale spatial resolution (*"more than 50 AFM modes"*)
- Can be combined with optical techniques in UV, visible, IR and THz ranges (AFM-Raman, nano-IR AFM, IR and THz s-SNOM)
- Can be used under different environments (vacuum, liquid, controlled atmosphere, temperature variations, etc.)
- Field of view: up to  $\approx 100 \mu\text{m}$  in X and Y;  $\approx 10 \div 20 \mu\text{m}$  in Z
- Scan rate:  $1 \div 2 \text{ Hz}$

# Intro: NT-MDT SI Product Line

## AFM

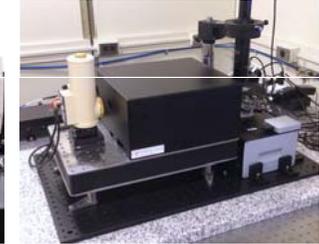
RD 100  
2011



RD 100  
2009



## AFM-Raman / IR / TERS



### SOLVER NANO

- Compact desktop AFM/STM for both education and science
- Full set of AFM/STM modes
- High AFM/STM performance
- Closed-loop Scanner

### NEXT/ TITANIUM

- AFM/STM with exceptional level of automation
- Fast, precise and low-noise closed-loop scanner
- High resolution imaging due to extremely low noise and high stability
- Full set of standard and advanced AFM/STM modes
- Hybrid Mode™

### NTEGRA

- Modular high performance AFM/STM for wide range of applications
- Low noise and high resolution
- Full set of standard and advanced AFM/STM modes
- Hybrid Mode™

### VEGA

- Automated high-resolution AFM for up to 200x200 mm samples
- Ultra stable AFM
- Full set of standard and advanced AFM modes
- Hybrid Mode™
- ScanT™

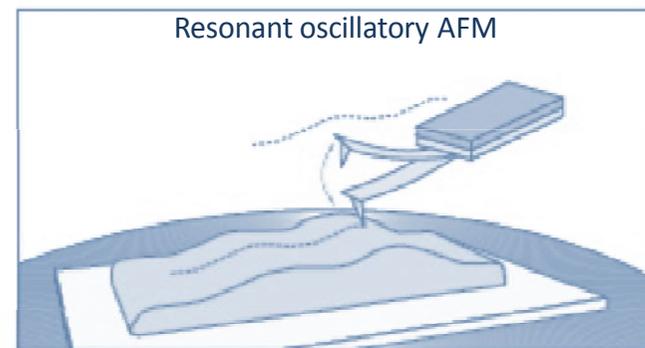
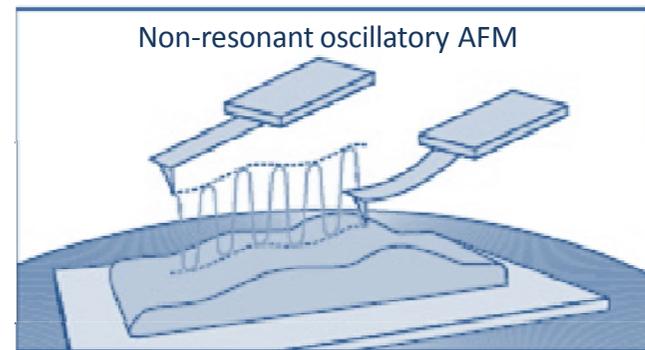
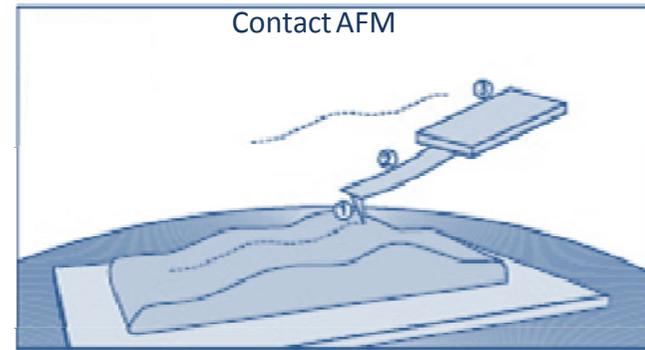
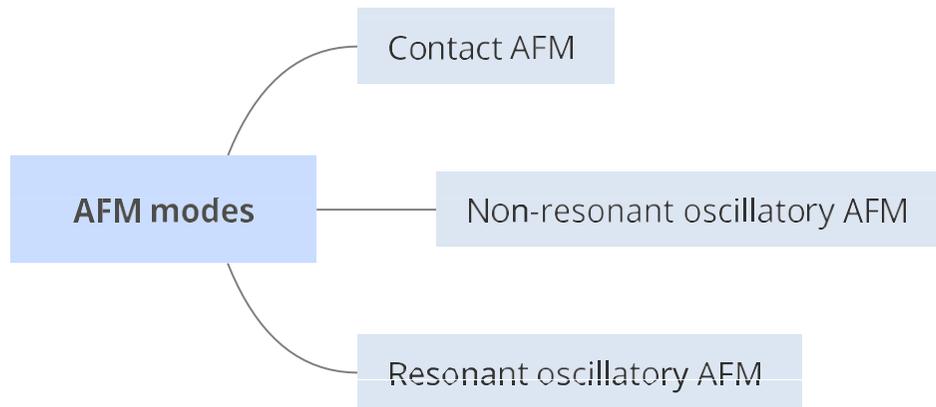
### NTEGRA SPECTRA II

- SPM
- Automated AFM laser, probe and photodiode
- Confocal Raman / Fluorescence / Rayleigh Microscopy
- Tip Enhanced Raman Scattering (TERS)
- TERS optimized system for all possible excitation/detection geometries
- Hybrid Mode™

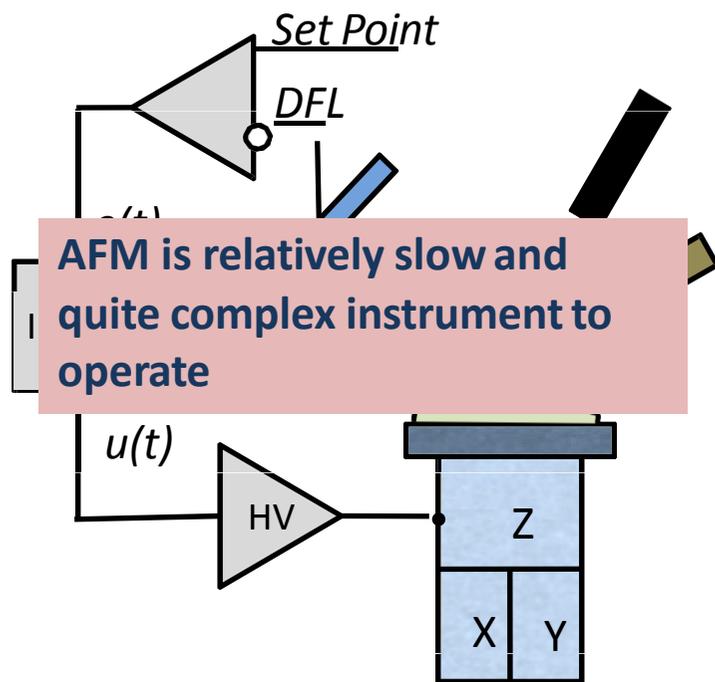
### NTEGRA Nano IR

- IR sSNOM system
- High resolution AFM
- Stabilized CO<sub>2</sub> laser
- Hybrid Mode™

# Intro: Basic AFM Modes

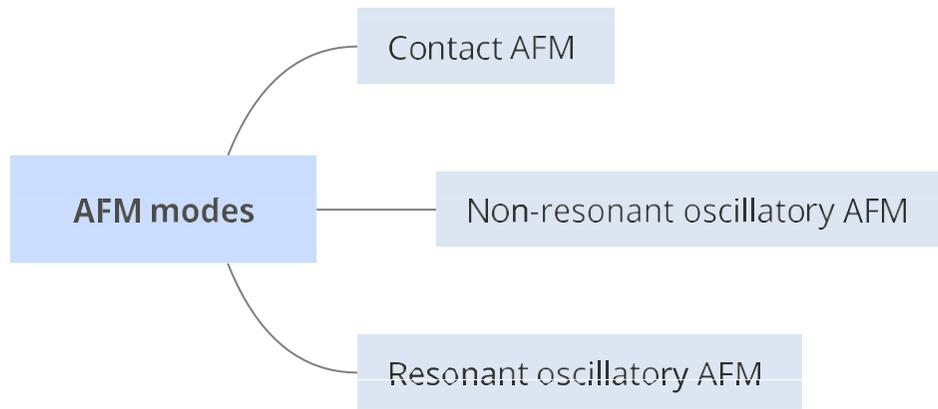


# Motivation: Atomic Force Microscopy

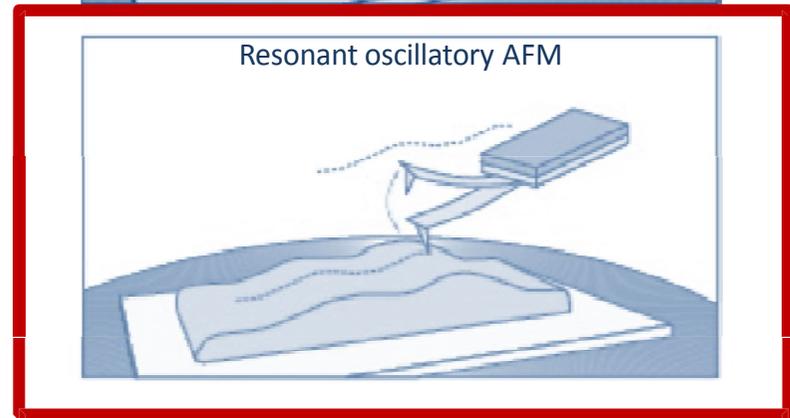
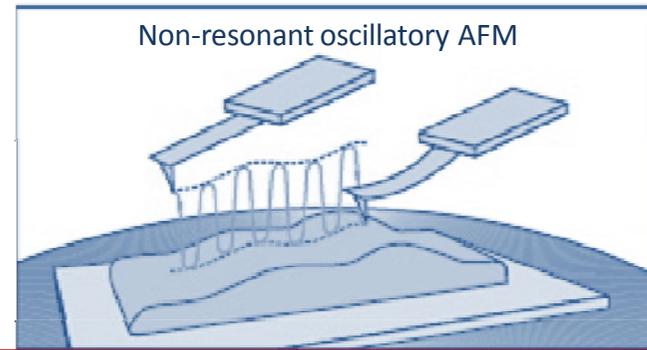
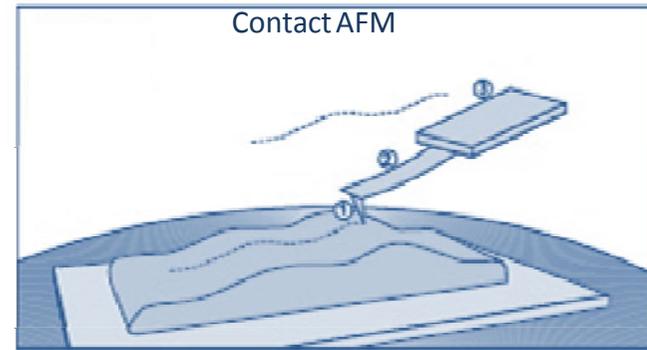


- 3D-imaging of surface topography with (sub-)nm spatial resolution
- Field of view: up to  $\approx 100 \mu\text{m}$  in X and Y;  $\approx 10 \div 20 \mu\text{m}$  in Z
- Scan rate:  $1 \div 2 \text{ Hz}$
- Imaging of nanomechanical, electrical, magnetic and other surface properties with nm-scale spatial resolution (*"more than 50 AFM modes"*)
- Can be combined with optical techniques in UV, visible, IR and THz ranges (AFM-Raman, nano-IR AFM, IR and THz s-SNOM)
- Can be used under different environments (vacuum, liquid, controlled atmosphere, temperature variations, etc.)

# Motivation: Basic AFM Modes



**Tapping mode:**  
about 90% of publications where AFM is used

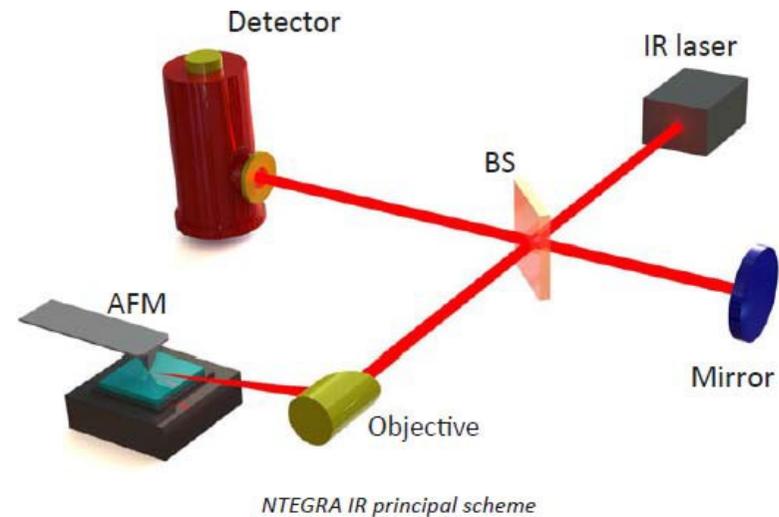


# Motivation: VEGA AFM

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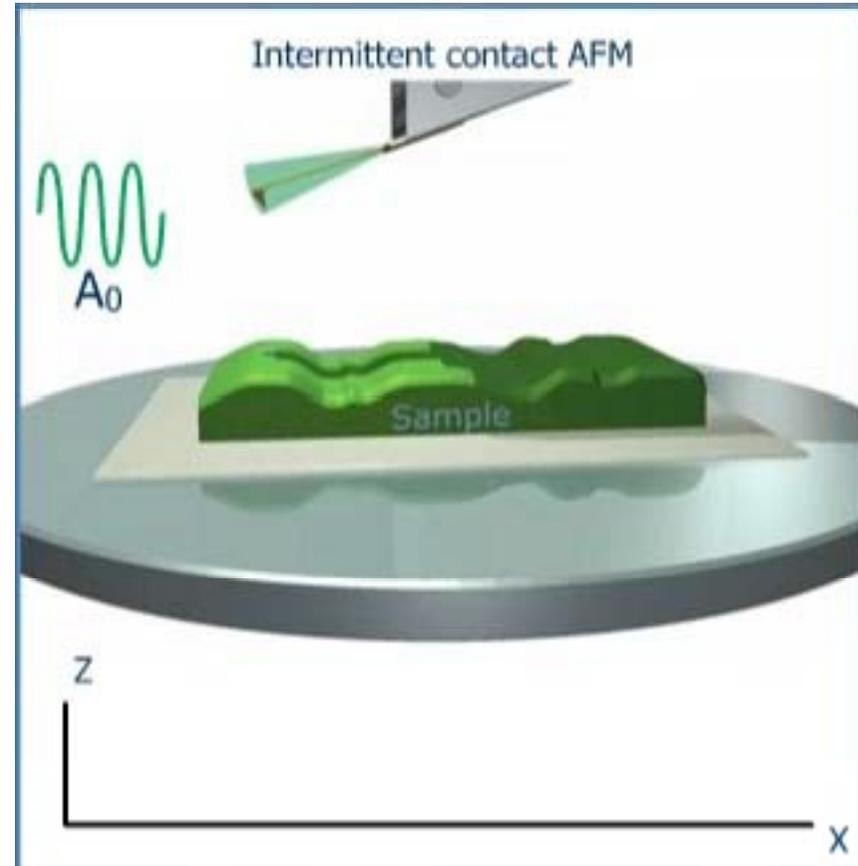
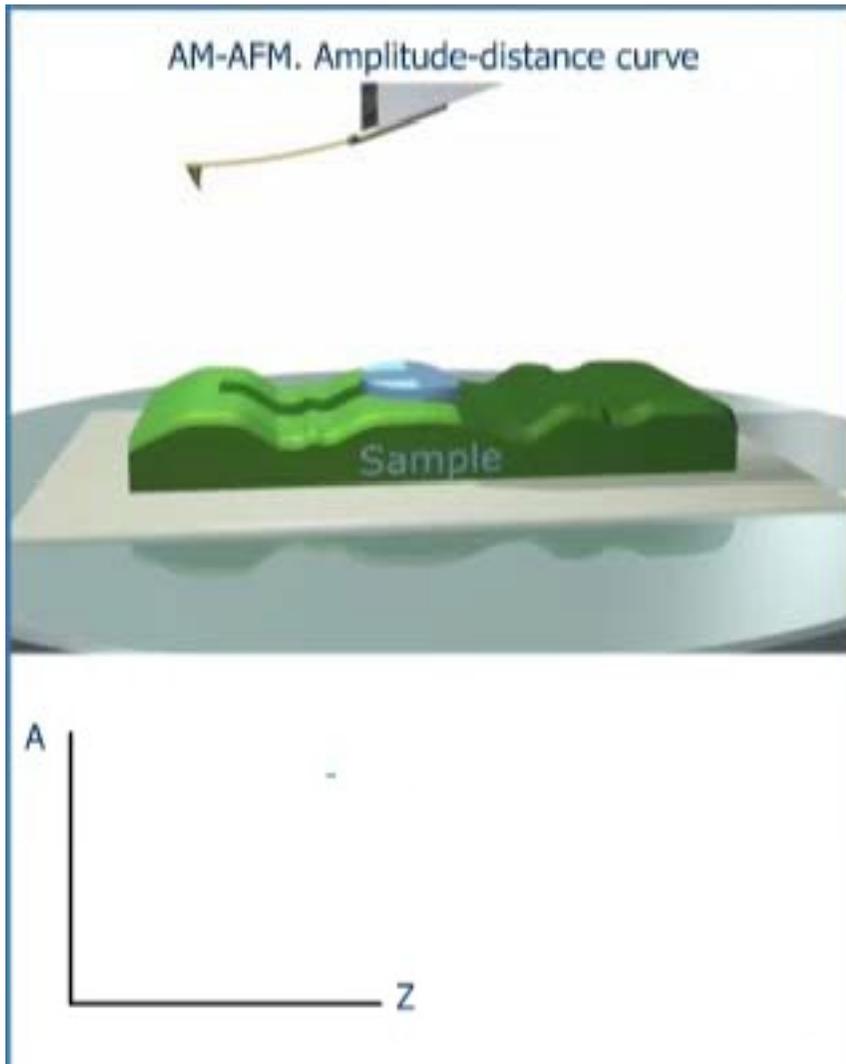
# Motivation: NTEGRA Nano IR – IR s-SNOM measurements



- IR s-SNOM microscopy and spectroscopy with 10 nm spatial resolution
- Wide spectral range of operation: 3-12  $\mu\text{m}$
- Incredibly low thermal drift and high signal stability
- Versatile AFM with advanced modes: SRI (conductivity), KPFM (surface potential), SCM (capacitance), MFM (magnetic properties), PFM (piezoelectric forces)
- HybriD Mode™ - quantitative nanomechanical mapping
- Integration with microRaman (optional)

**Automated optimization of scanning parameters  
in tapping mode AFM:**  
physical background, basics of the algorithms and examples of  
application

# Tapping (semicontact) AFM



# Automated optimization of scanning parameters

---

## Key scanning parameters:

- $A_0$  – Amplitude of cantilever oscillations
- **SP** – Set point amplitude
- $k_i$  – Integral feedback gain
- $V_x$  – Scan speed

## Additional scanning parameters:

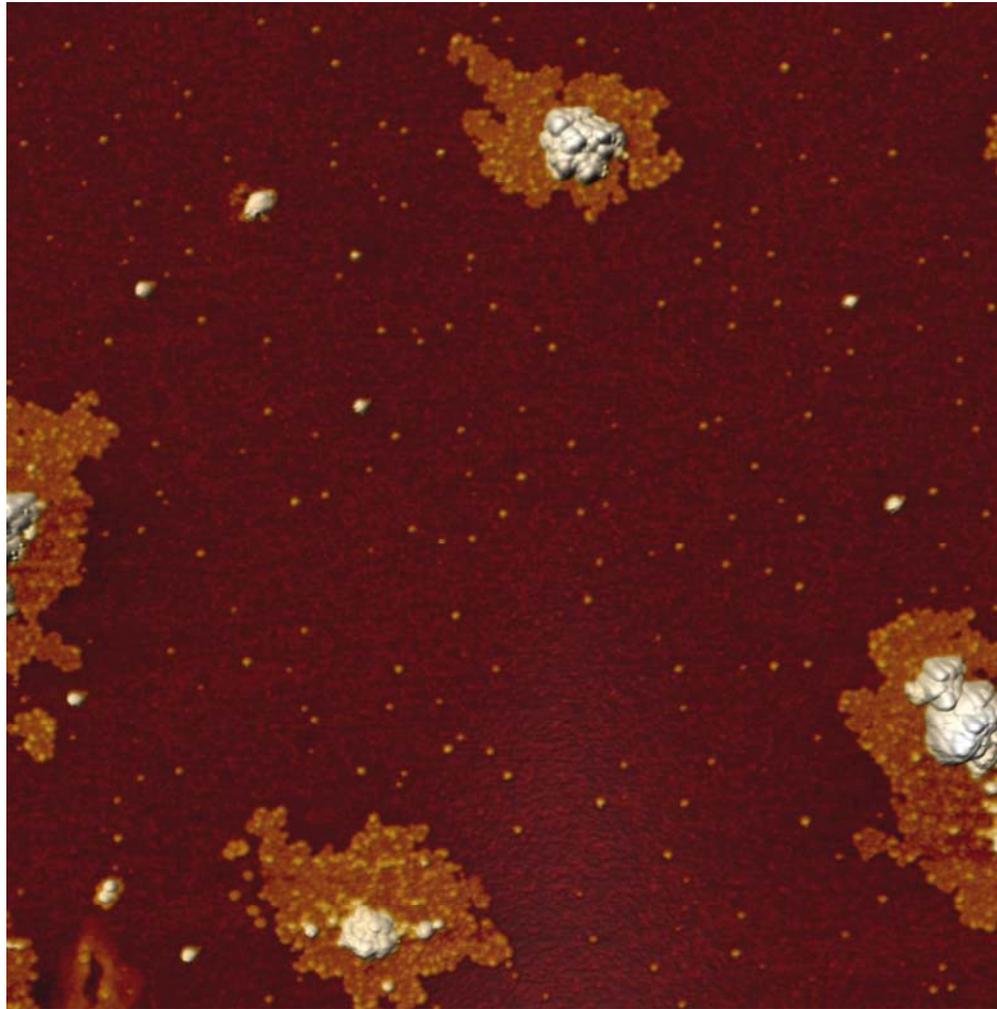
- **LP** – low-pass filter band
- $k_p$  - Proportional feedback gain

## Known parameters:

- **Probe parameters:** resonant frequency, Q-factor
- Mag to amplitude slope conversion
- Sample roughness (?)

# Automated optimization of scanning parameters

---



Fluoroalkanes F<sub>14</sub>H<sub>20</sub> on Si.  
Scan size 5×5 μm

# Automated optimization of scanning parameters

---

Is it possible to **automatically optimize** scanning parameters while operating in **tapping mode** AFM to measure the topography and phase contrast?

- How feedback system works in tapping mode AFM?
- Parachuting effects
- Mode switching in tapping mode AFM
- How to optimize integral feedback gain for tapping mode AFM?

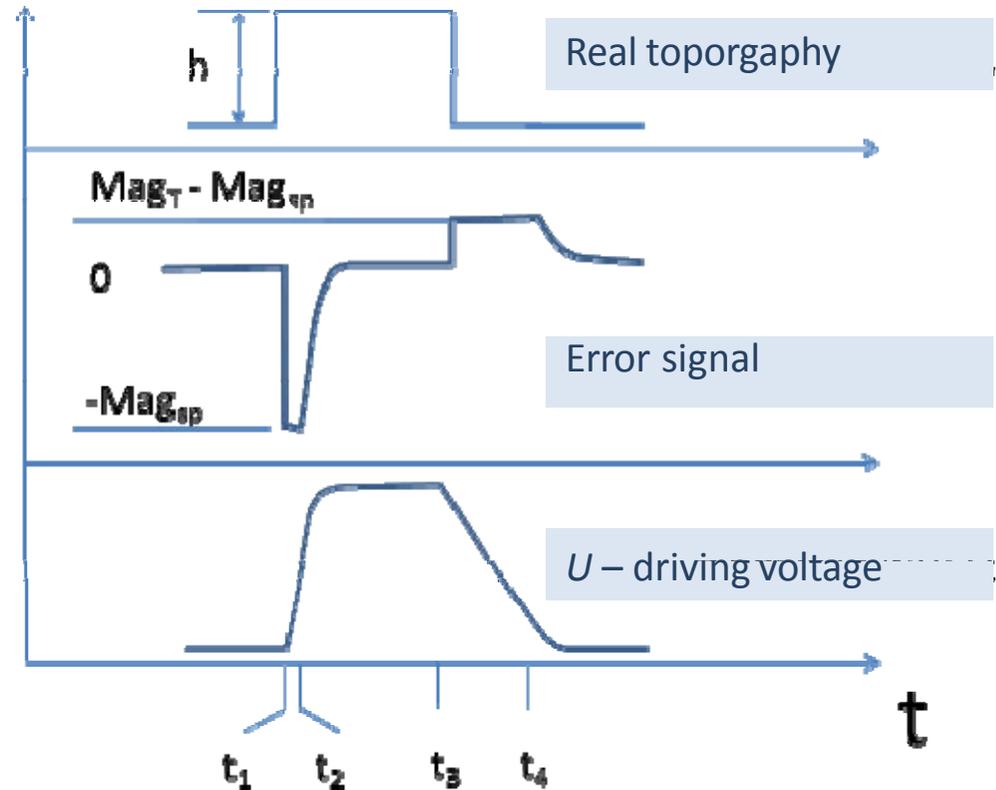
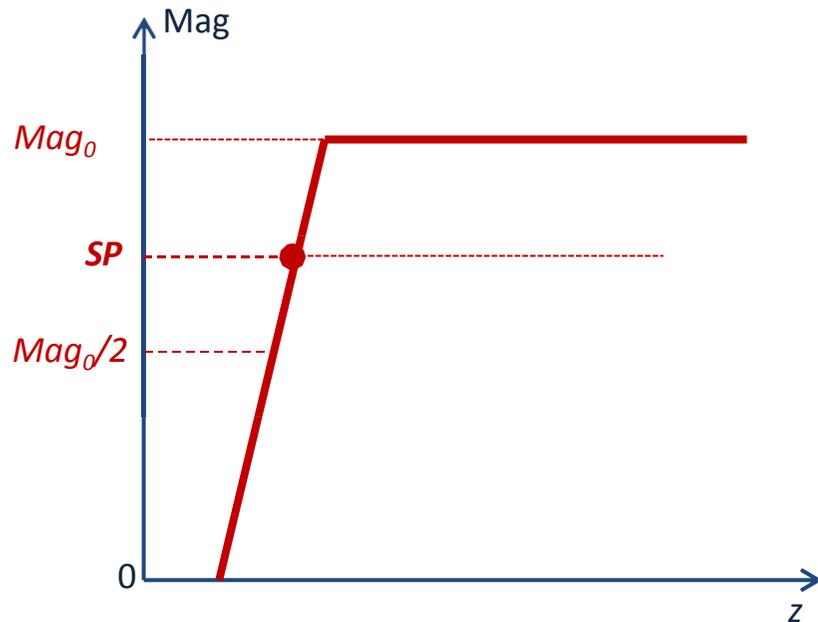
**What should be objective function for optimization?**

# Parachuting effect in tapping mode AFM

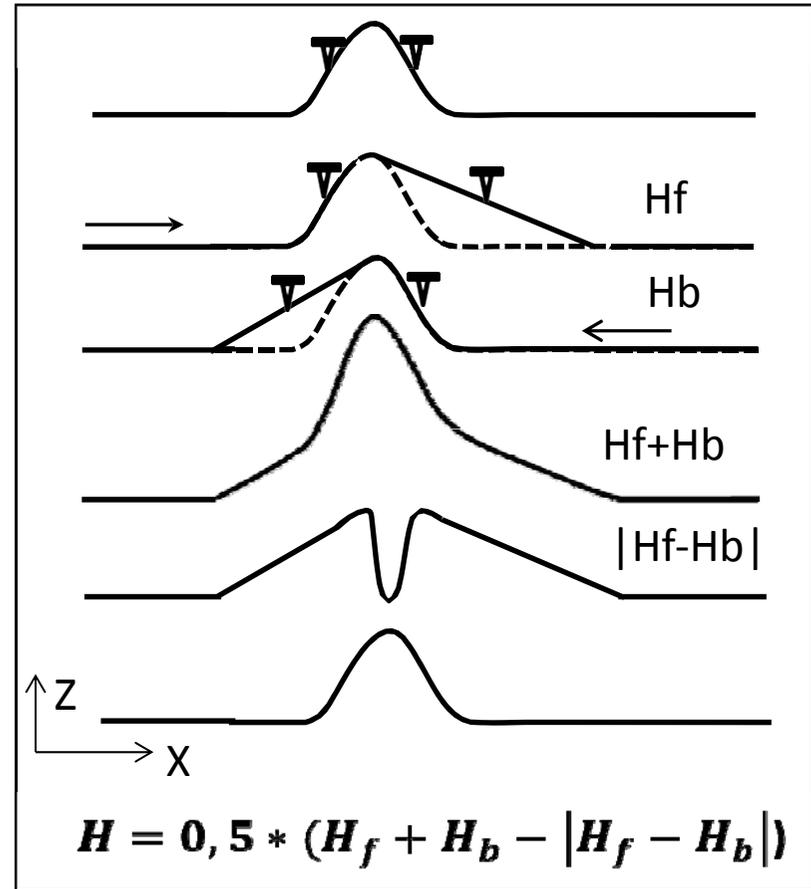
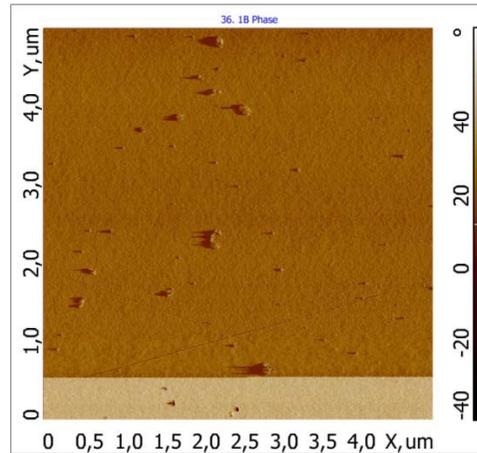
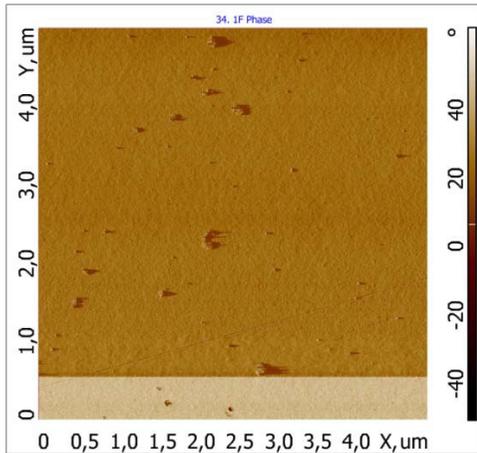
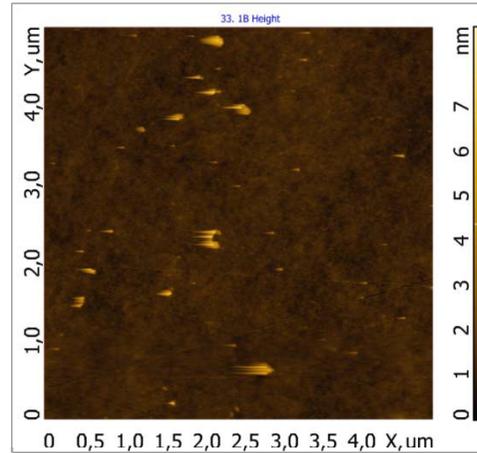
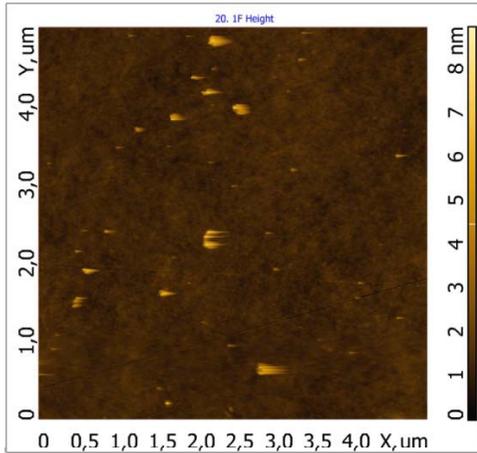
$$-SP \leq \varepsilon \leq Mag_0 - SP$$

$$\frac{dU}{dt} = k_i \cdot \varepsilon = k_i(Mag_0 - SP)$$

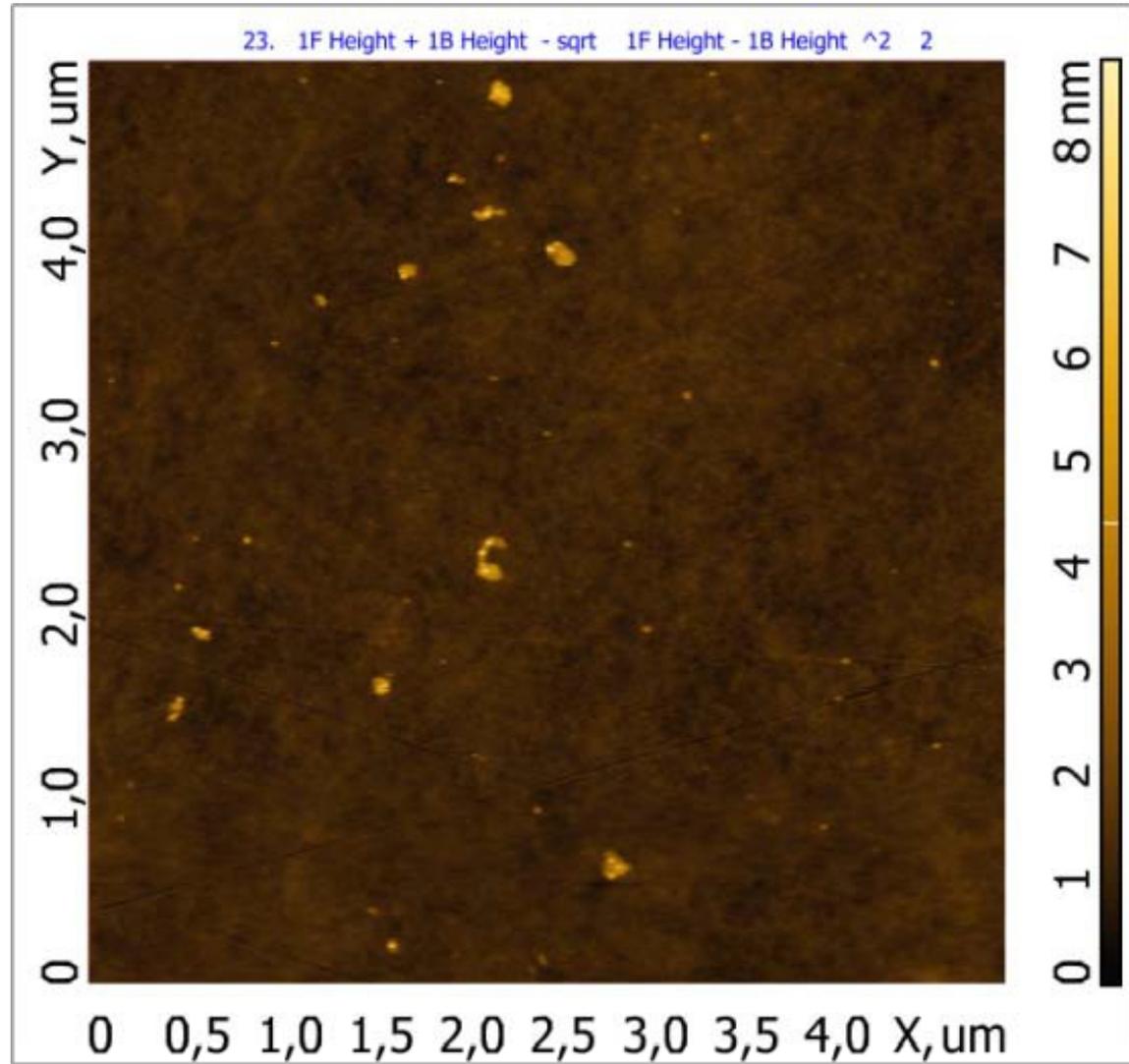
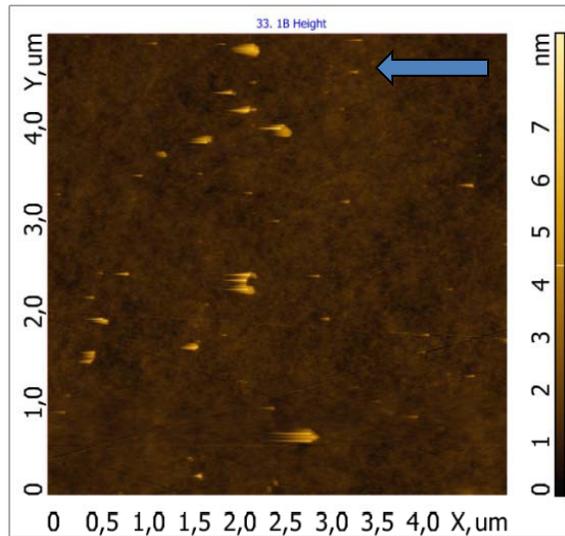
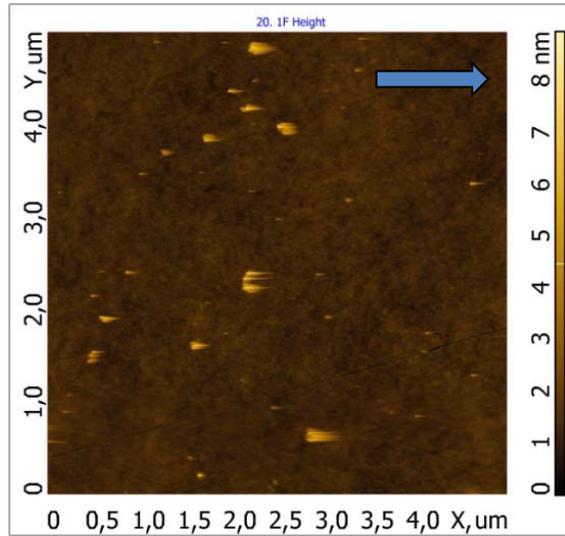
$$U = k_i(Mag_0 - SP) \cdot t + U_0 \quad t_3 < t < t_4$$



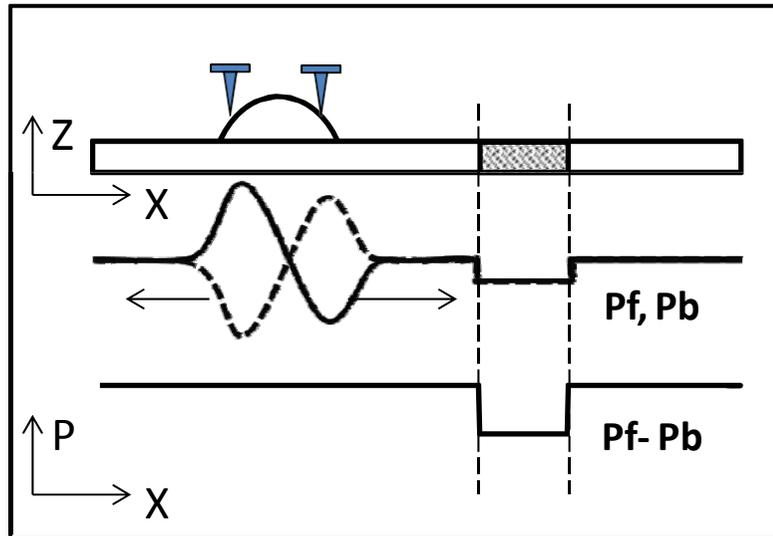
# Parachuting effect in tapping mode AFM



# Parachuting effect in tapping mode AFM

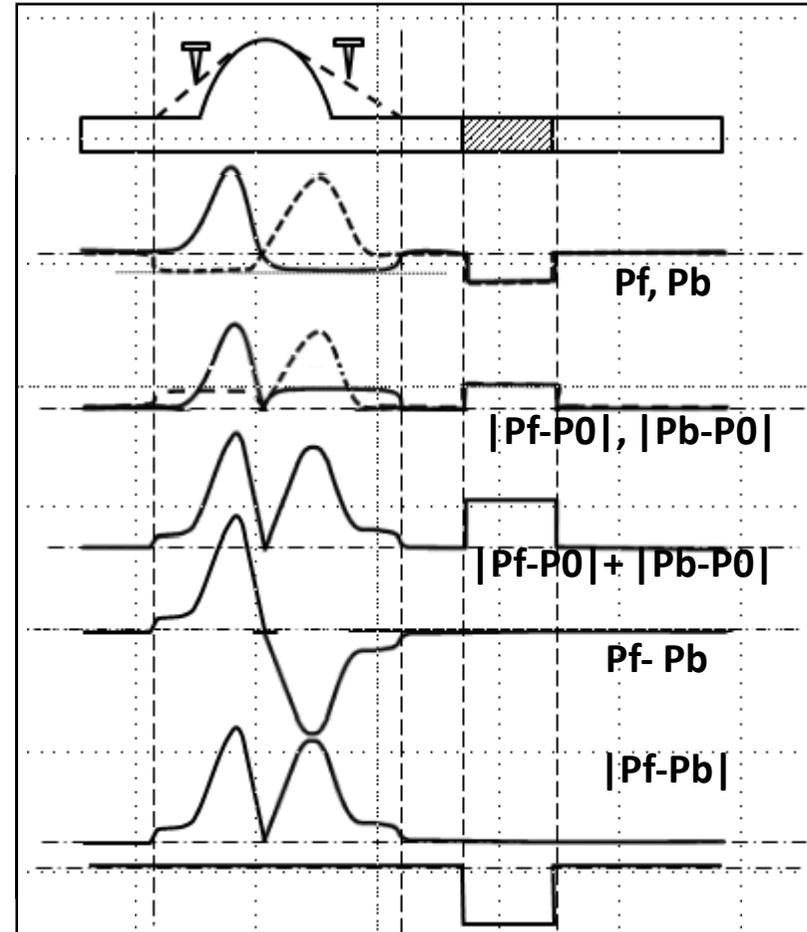


# Parachuting and topography effects in phase contrast



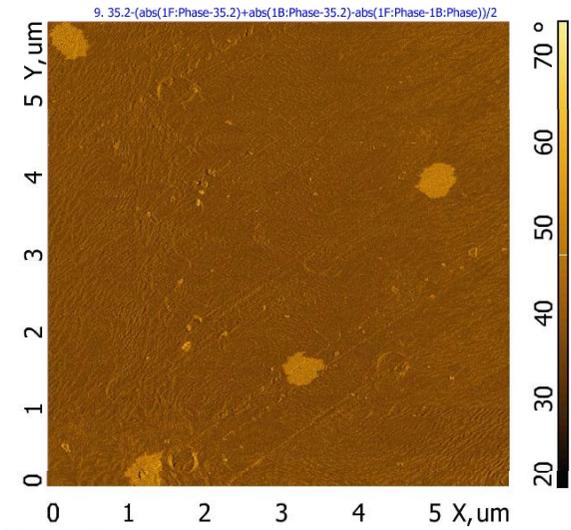
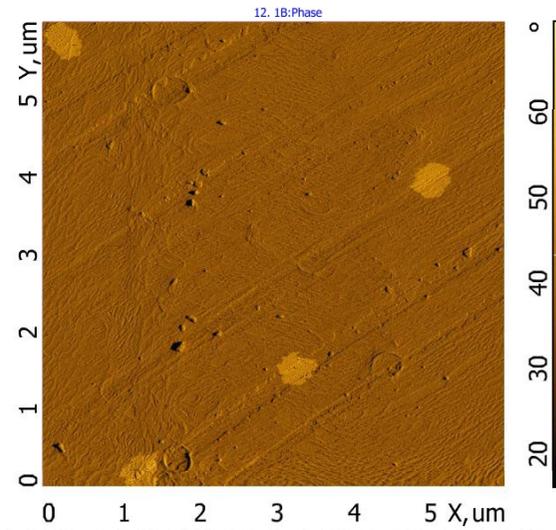
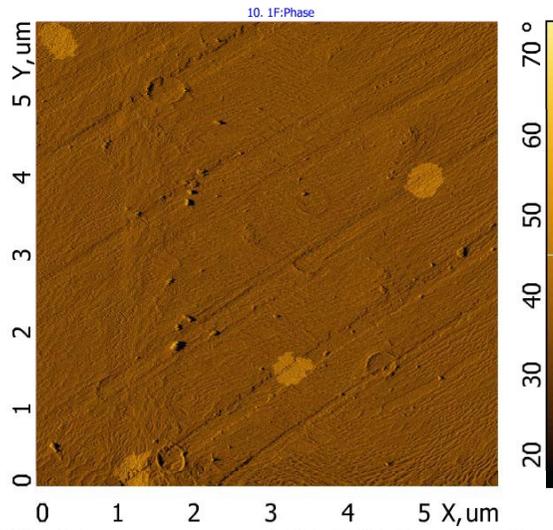
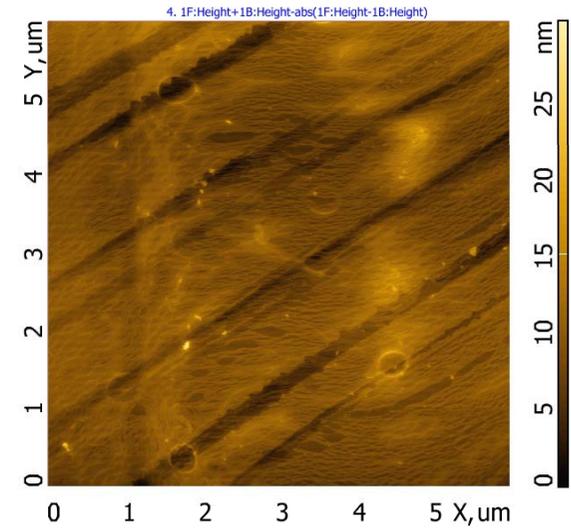
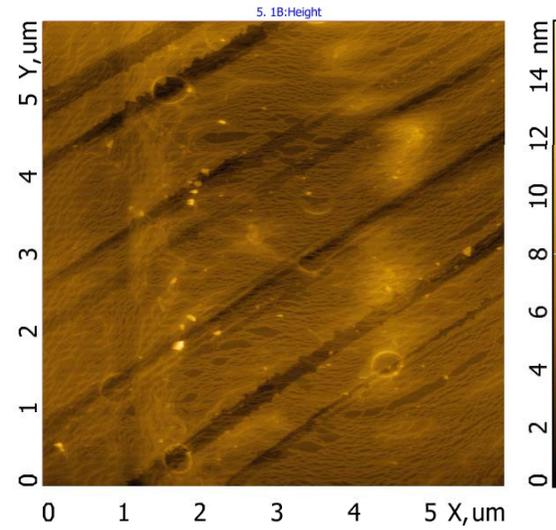
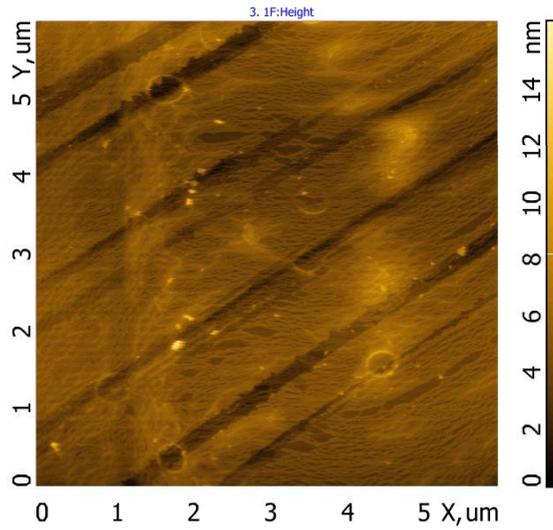
Phase signal (no parachuting) and compensation of topography influence

$$P_o + 0.5 * [ |Pf-Pb| - (|Pb-Po| + Pf-Po) ]$$

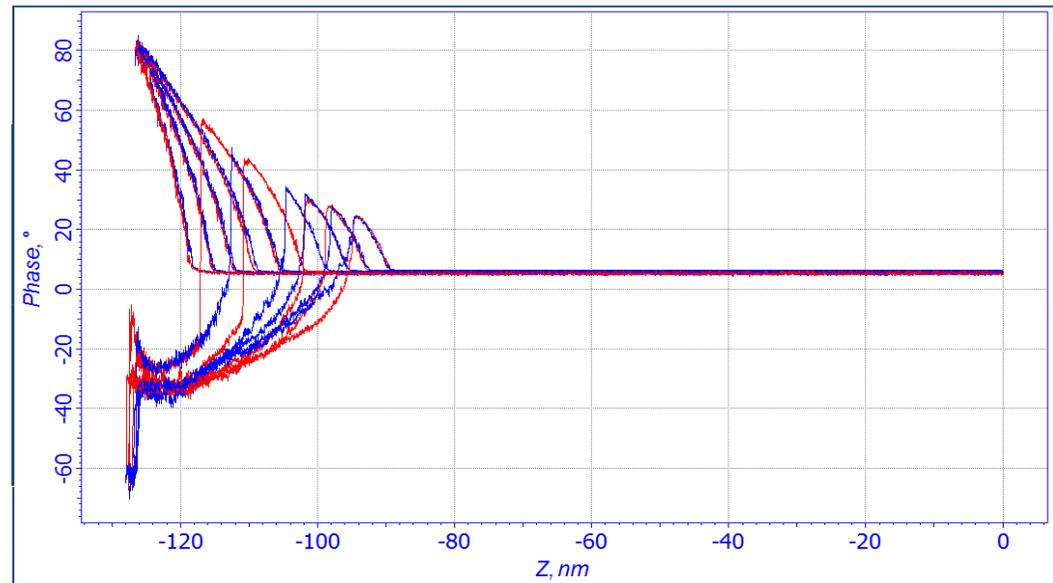
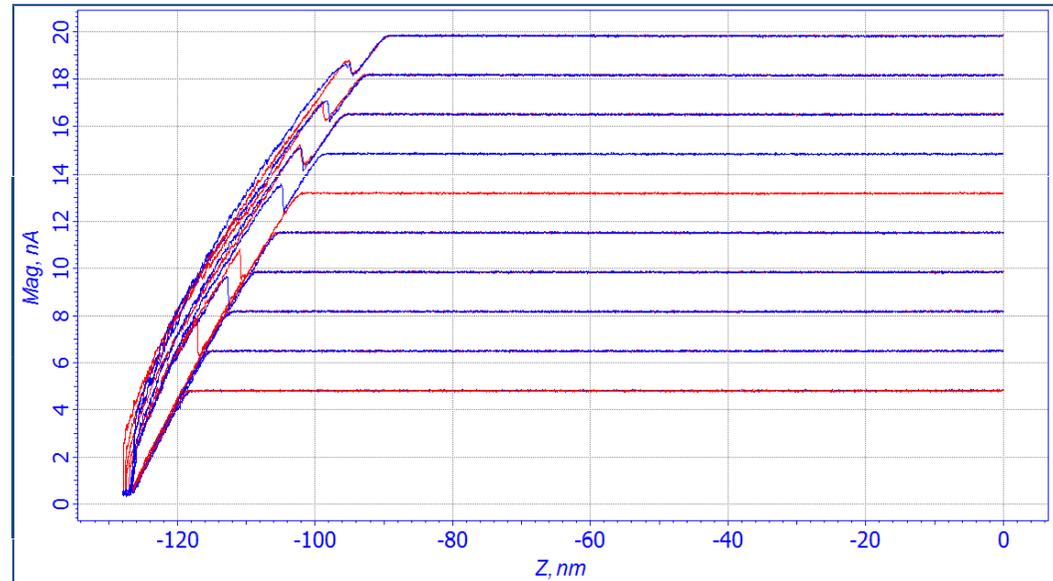


Phase signal in case of parachuting and its compensation

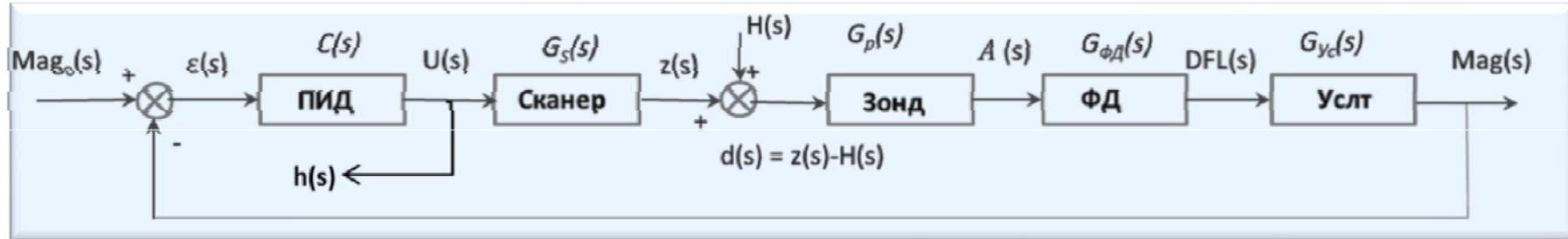
# Parachuting and topography effects in phase contrast



# Mode switching in tapping mode AFM



# Feedback gain optimization in tapping mode AFM



$$\varepsilon = SP - Mag$$

$$\frac{dU}{dt} = k_i \cdot \varepsilon(t)$$

$$H = k_s U$$

$$Mag = k_p (H - h)$$

$$\tau_0 = \frac{1}{k_i k_p k_s}$$



$$V_x \tau_0 \cdot \frac{dH(x)}{dx} + H(x) = h(x)$$

$$\varepsilon[\text{nm}] = V_x \tau_0 \cdot \frac{dH(x)}{dx}$$

# Feedback gain optimization in tapping mode AFM

---

- Minimize **Error** signal
- Avoid mode hopping
- Avoid **Mag** signal saturation

- Integral feedback gain optimization: minimization of RMS of MAG:

$$\varepsilon = \frac{\alpha}{k_i} + \beta k_i + \gamma k_i^2 + \delta$$

- Avoid mode switching: setting amplitude so that there is no mode switching, that can be controlled using **Phase** signal
- Avoid **Mag** signal saturation: if saturation happens – decrease Set Point; if Set Point is less than 50% of free amplitude – adjust speed of scanning

$$\varepsilon[\text{nm}] = V_x \tau_0 \cdot \frac{dH(x)}{dx}$$

# B

(E) Restart  
11

Mode SemiContact Topo  
Rate 1.40000 Hz Time: 0:06:06

Direction ...

View  
Quick j  
Sections j

Start [ ]

Status: StandBy  
0.038 Hz

Regime Amplimit  
Attr action ... 30

Main options

Optimization mode	Start Mode
Base ...	Current ...
Nprescan	
11 ...	dmp ON

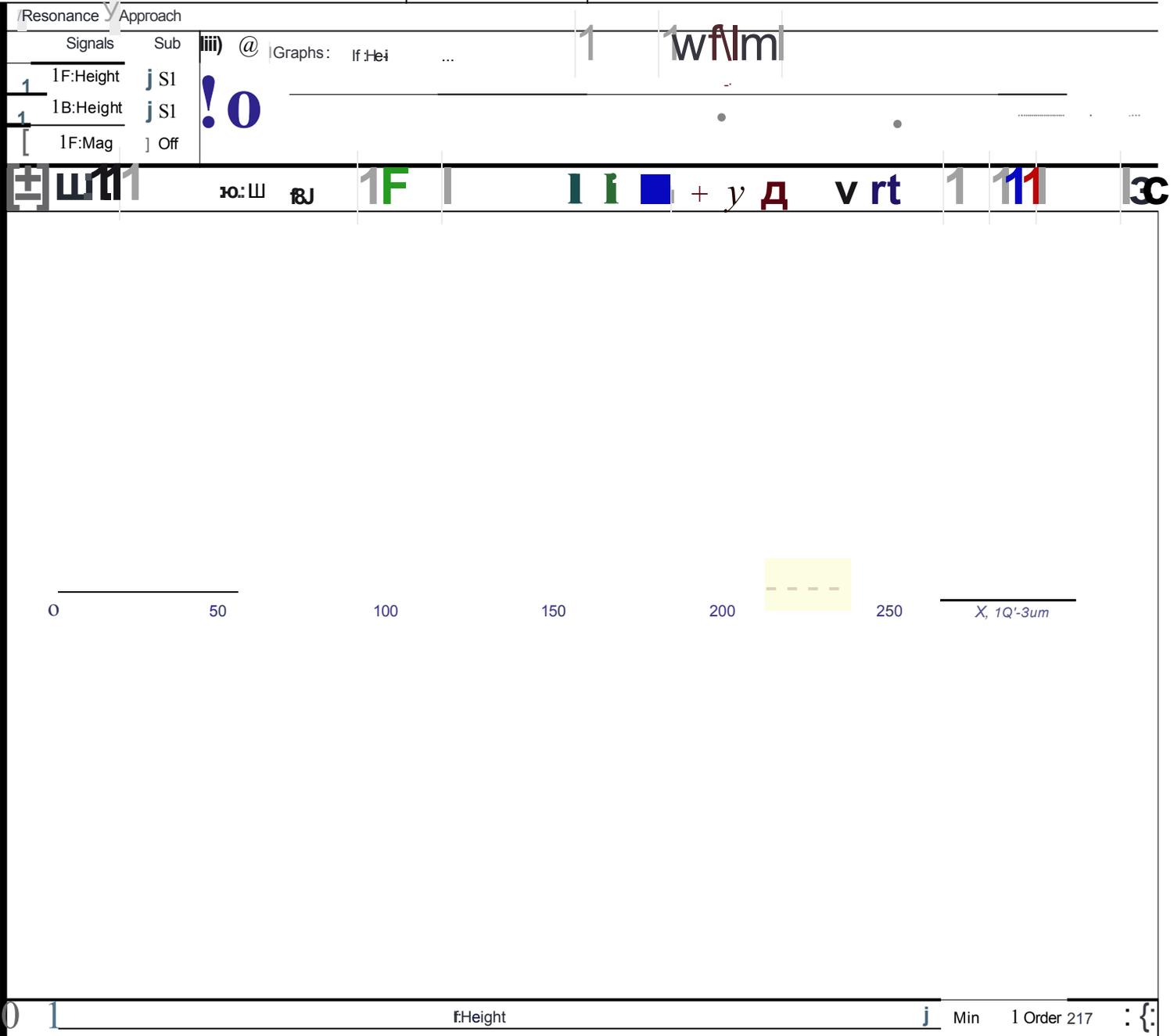
Optimized parameters

	Initial	Actual
dmp	0.0010	0.0442
LockGain	20.0	38.4
zI	0.55	0.20
zP	7.00	9.80
zSP	0.900	9.800
Rate	1.4000	1.4000
lpfMag	11000.0	1000.0
Shift	0	-8

Save set

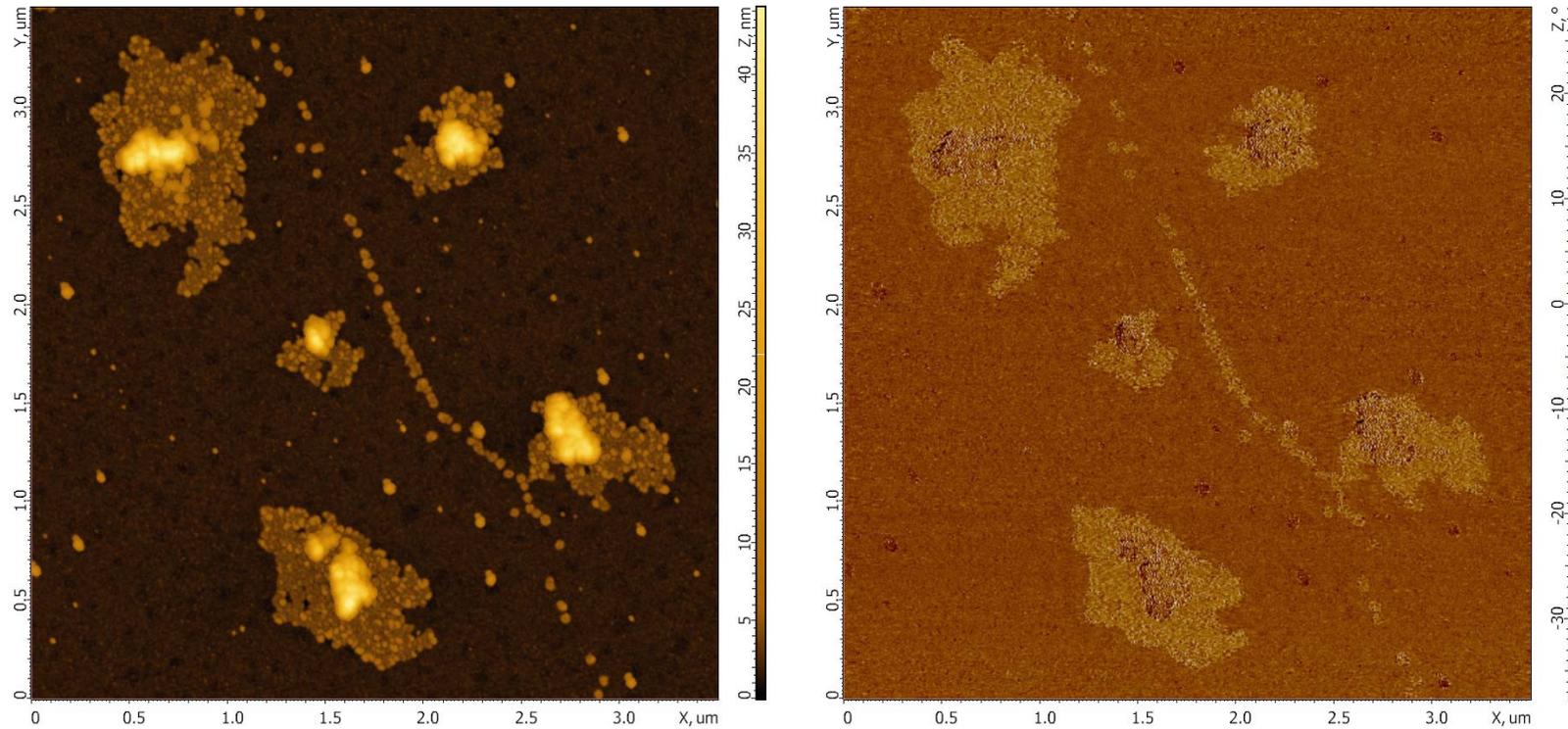
V Qш, итyонb-ol

r(Height) = 1.0000  
RMS(Mag) = 0.0092  
RMS(Mag) = 0.0092



# Scan Tronic: Examples of application

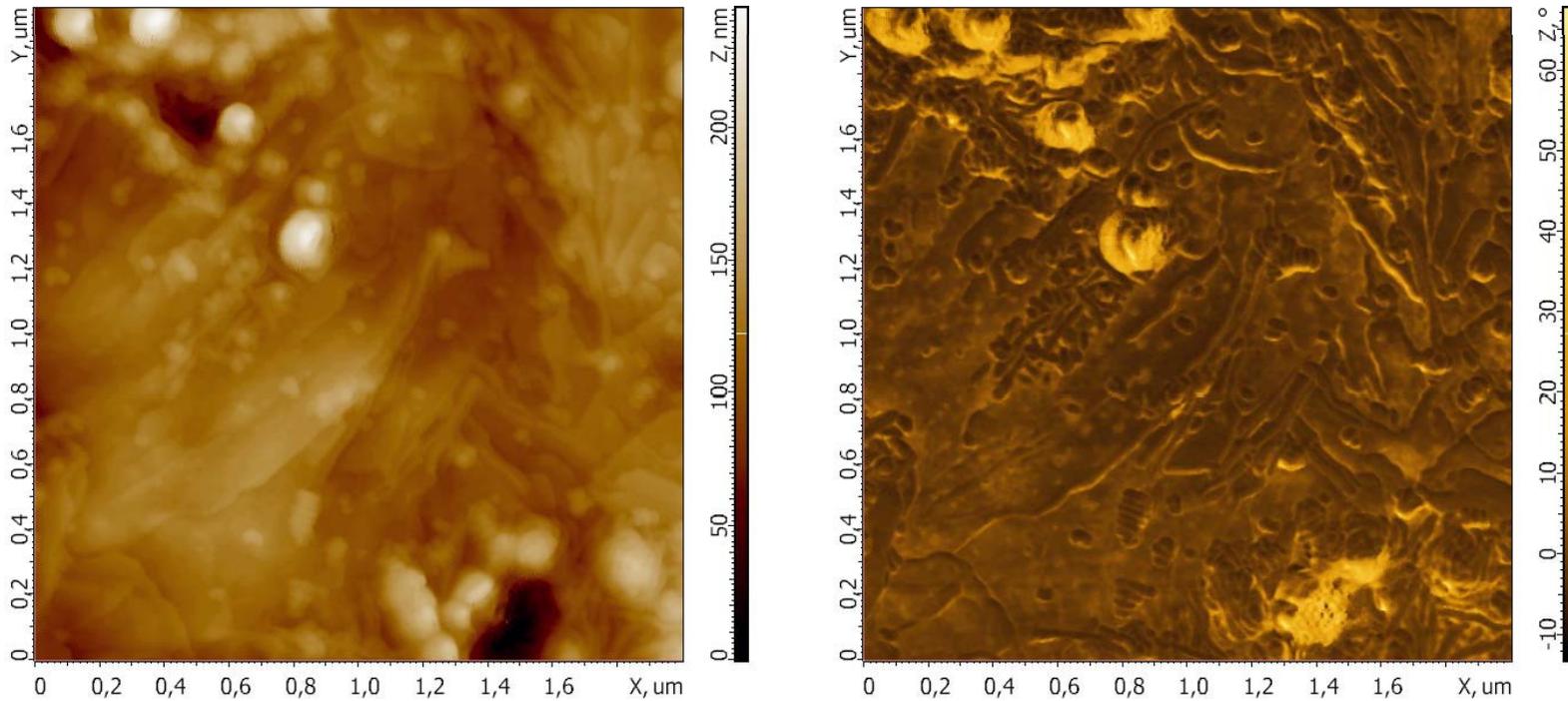
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Fluoroalkanes  $F_{14}H_{20}$  on Si. Left – topography, right – phase contrast  
Scan size  $3.5 \times 3.5 \mu\text{m}$

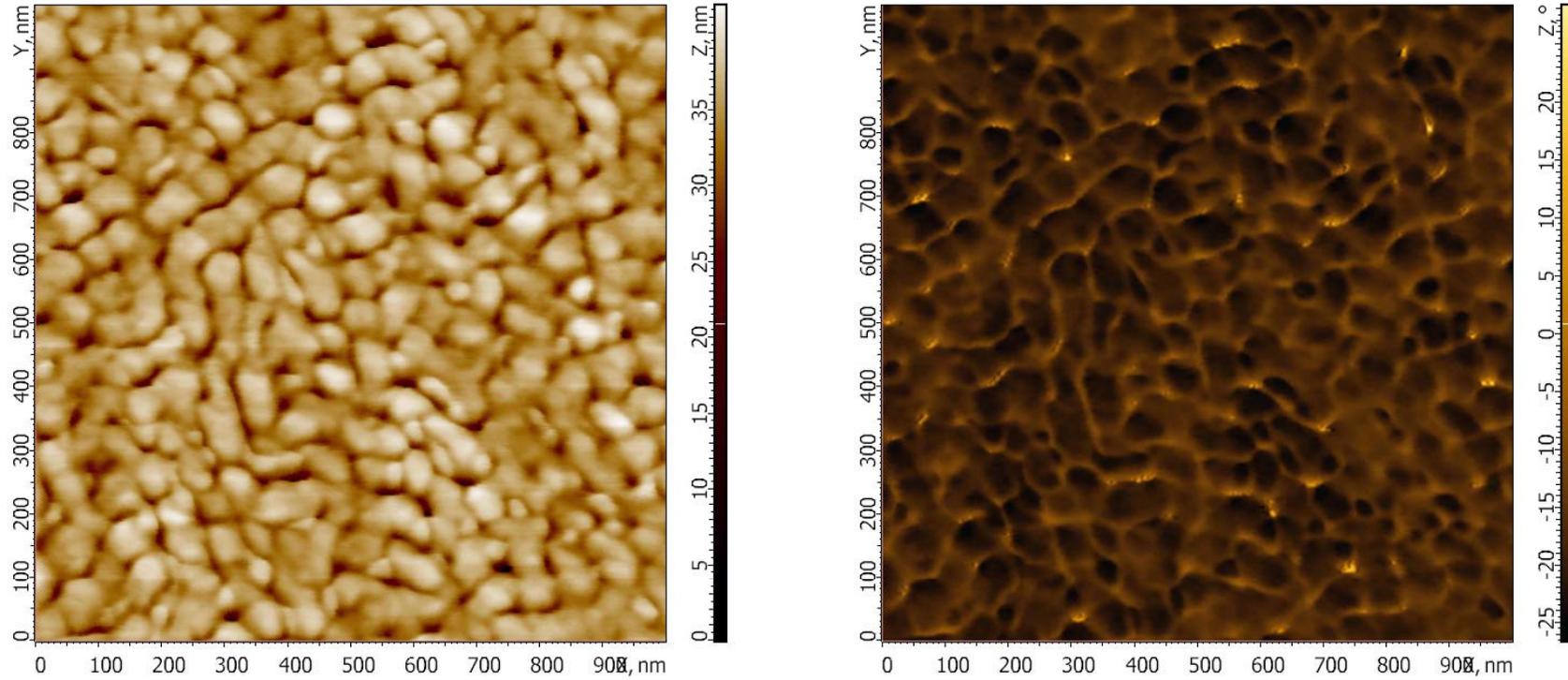
# Scan Tronic: Examples of application

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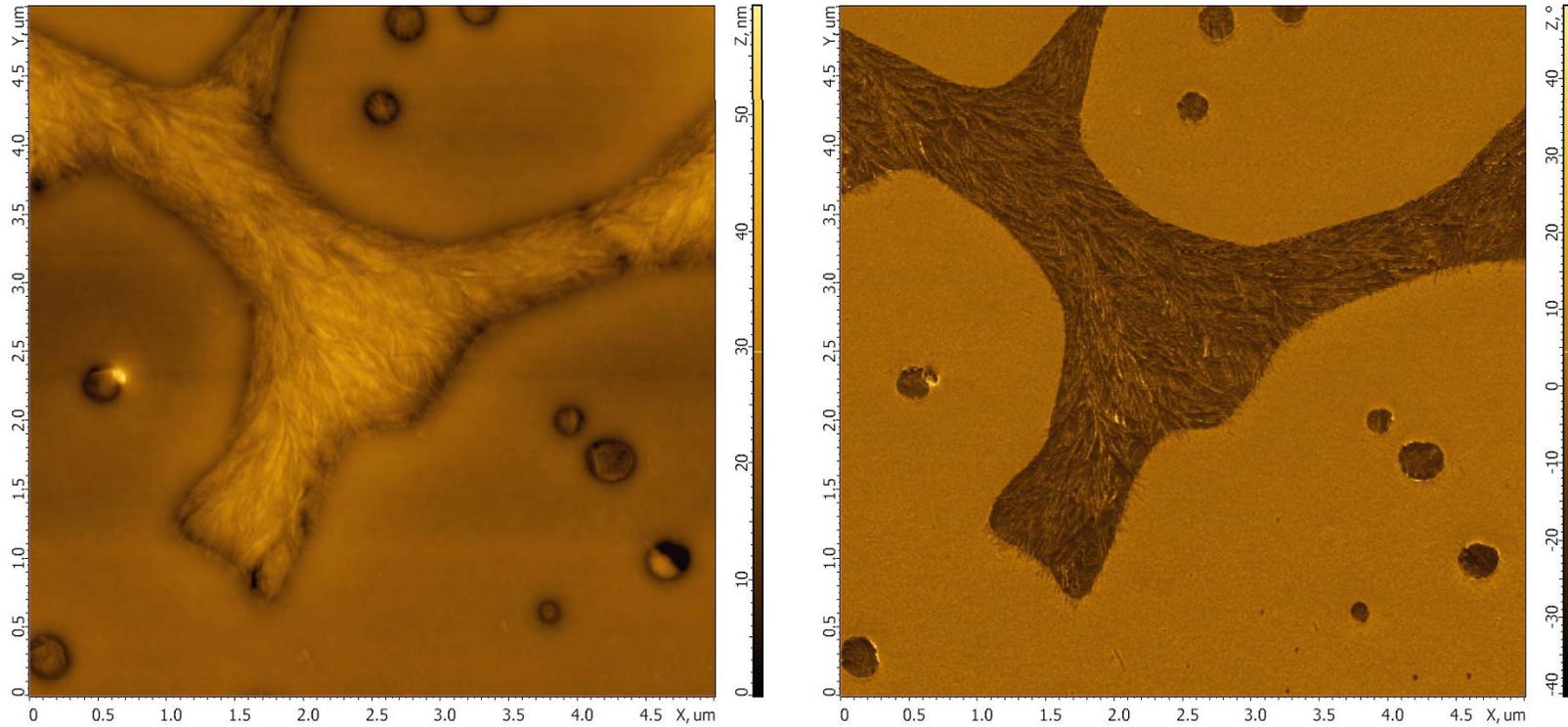
HDPE. Left – topography, right – phase contrast  
Scan size  $2 \times 2 \mu\text{m}$

# Scan Tronic: Examples of application



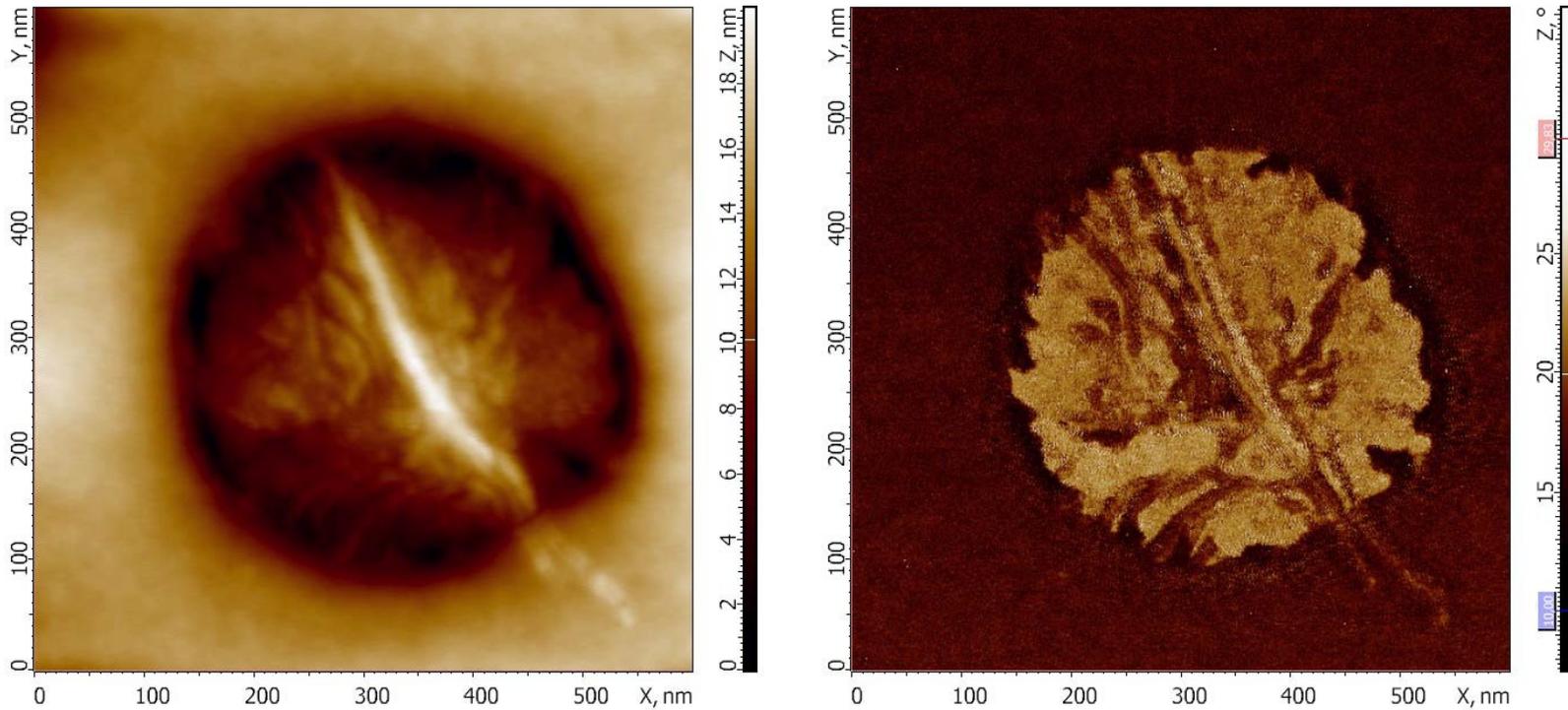
PS-b-PMMA. Left – topography, right – phase contrast  
Scan size 1×1 μm

# Scan Tronic: Examples of application



PVDF-sPS. Left – topography, right – phase contrast  
Scan size  $5 \times 5 \mu\text{m}$

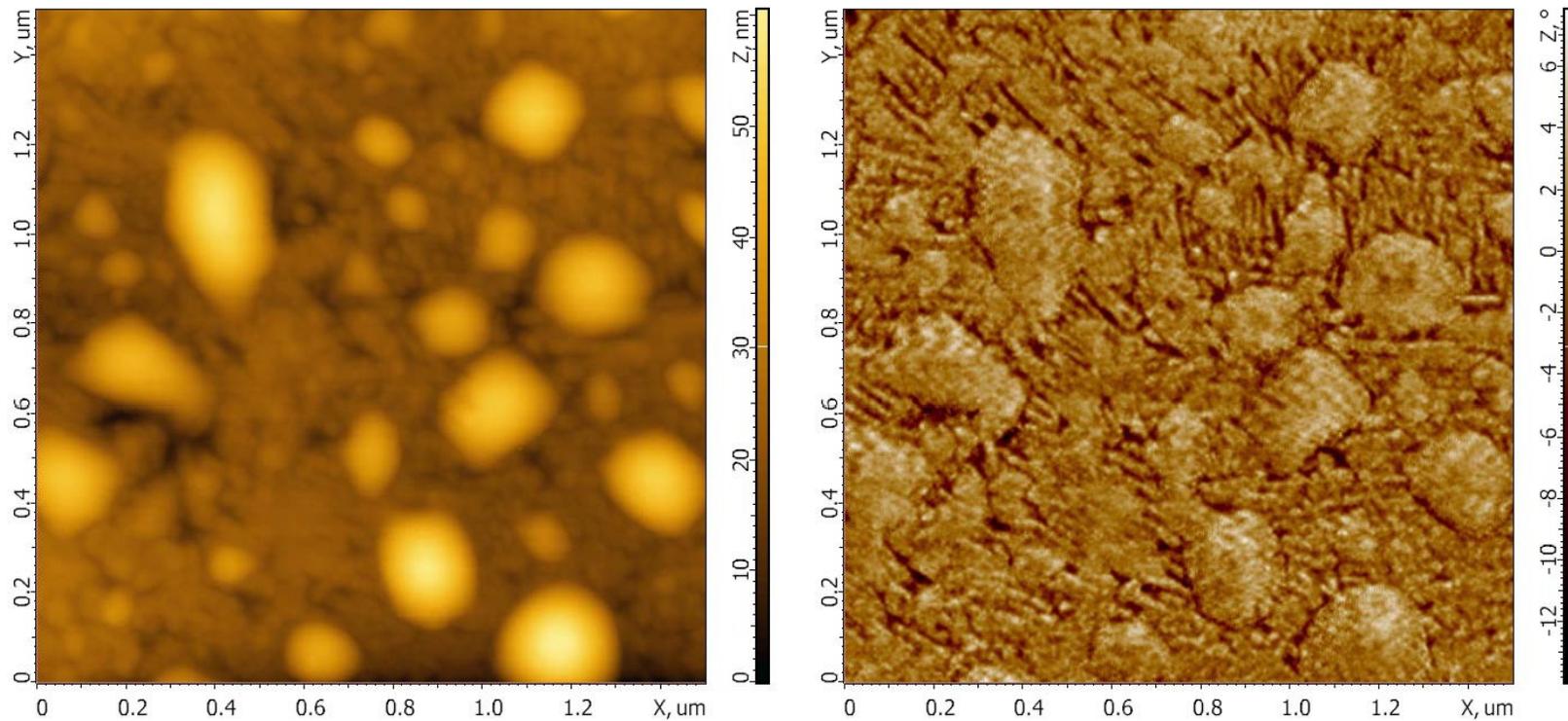
# Scan Tronic: Examples of application



PVDF-sPS. Left – topography, right – phase contrast  
Scan size 600×600 nm

# Scan Tronic: Examples of application

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TPV. Left – topography, right – phase contrast  
Scan size  $1.5 \times 1.5 \mu\text{m}$

# Setting initial parameters

---



# Setting initial parameters: machine learning

Topography, p-p, nm	Roughness	Stiffness	Stickiness	Charge
<20	Unknown	Unknown	Unknown	Unknown
20-50	Low	Low	Low	Low
50-100	Mid	Mid	Mid	Mid
100-250	High	High	High	High
250-500				
>500				

Buttons: Set as Actual, Set as Initials

Before experiment

Scan procedure is over. Please, select the sample features and save adjuster's parameters

Sample features:

Roughness: Mid

Stiffness: Low

Stickiness: High

Static charge: Semiconductor

Comments:

tgz1

Save XML  Save Excel Manual

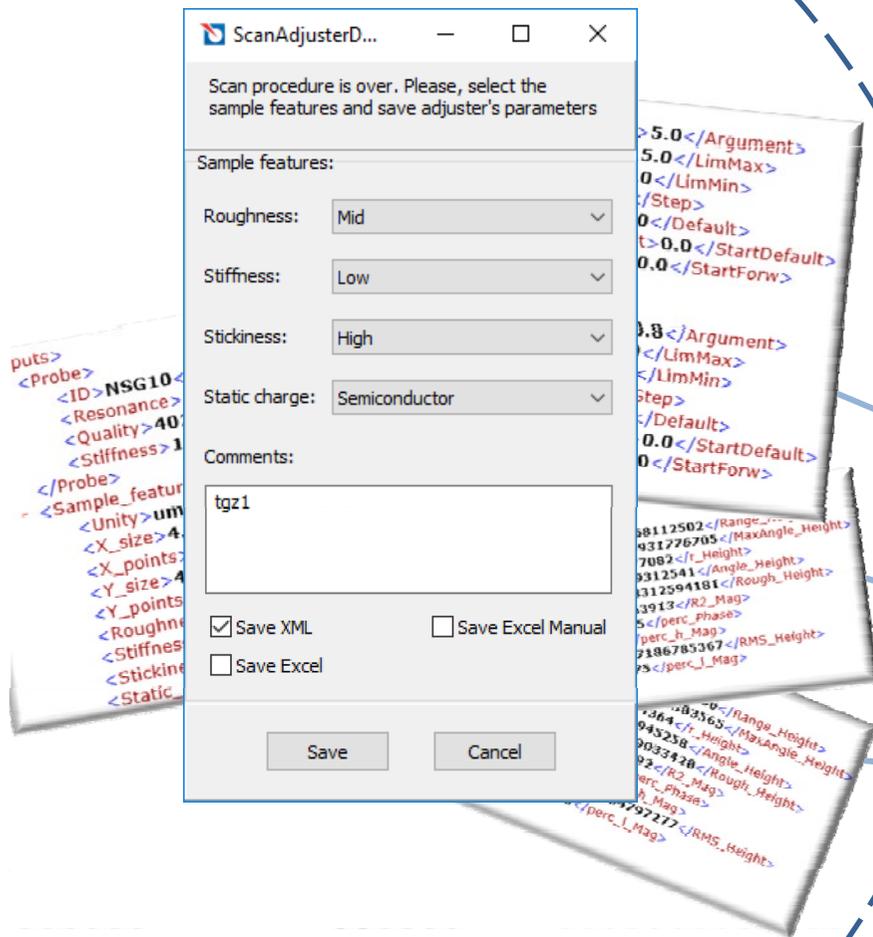
Save Excel

Buttons: Save, Cancel

After experiment

# Setting initial parameters: machine learning

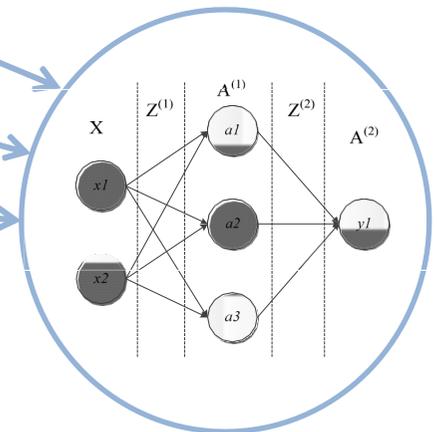
## Saving



## Learning

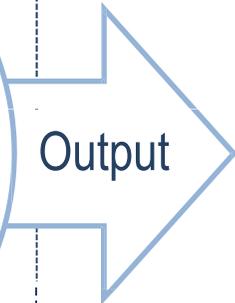
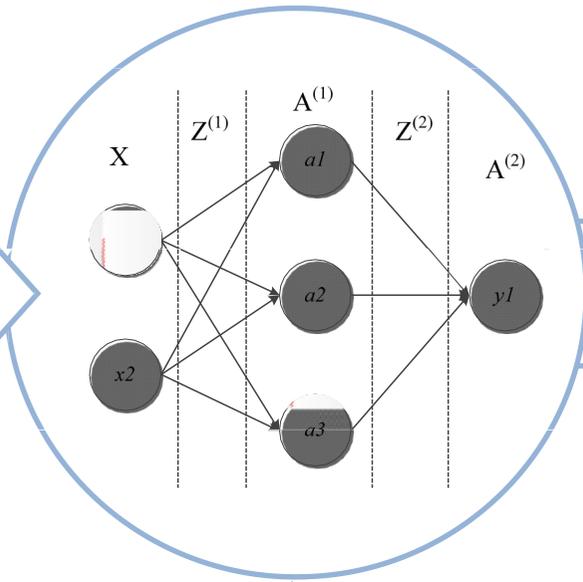
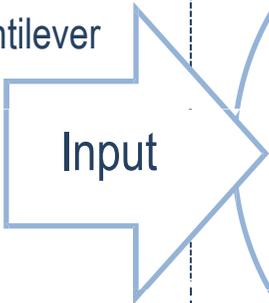
Based on the backpropagation method using the BFGS algorithm (Broyden-Fletcher-Goldfarb-Shanno)

The search for the minimum of the objective function makes it possible to find and adjust the optimal synapse weights



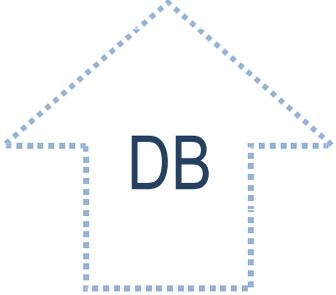
# Setting initial parameters: machine learning

$f$  – cantilever resonant frequency  
 $K$  – cantilever stiffness  
 $Q$  – Q-factor of the cantilever  
 $Nx$  – number of pixels  
Scan size



$P_0$  and  $I_0$  feedback gains  
 $SP_0$  – Set Point  
 $V_0$  – Scan rate

Sample parameters (stickiness, charge, roughness and stiffness)

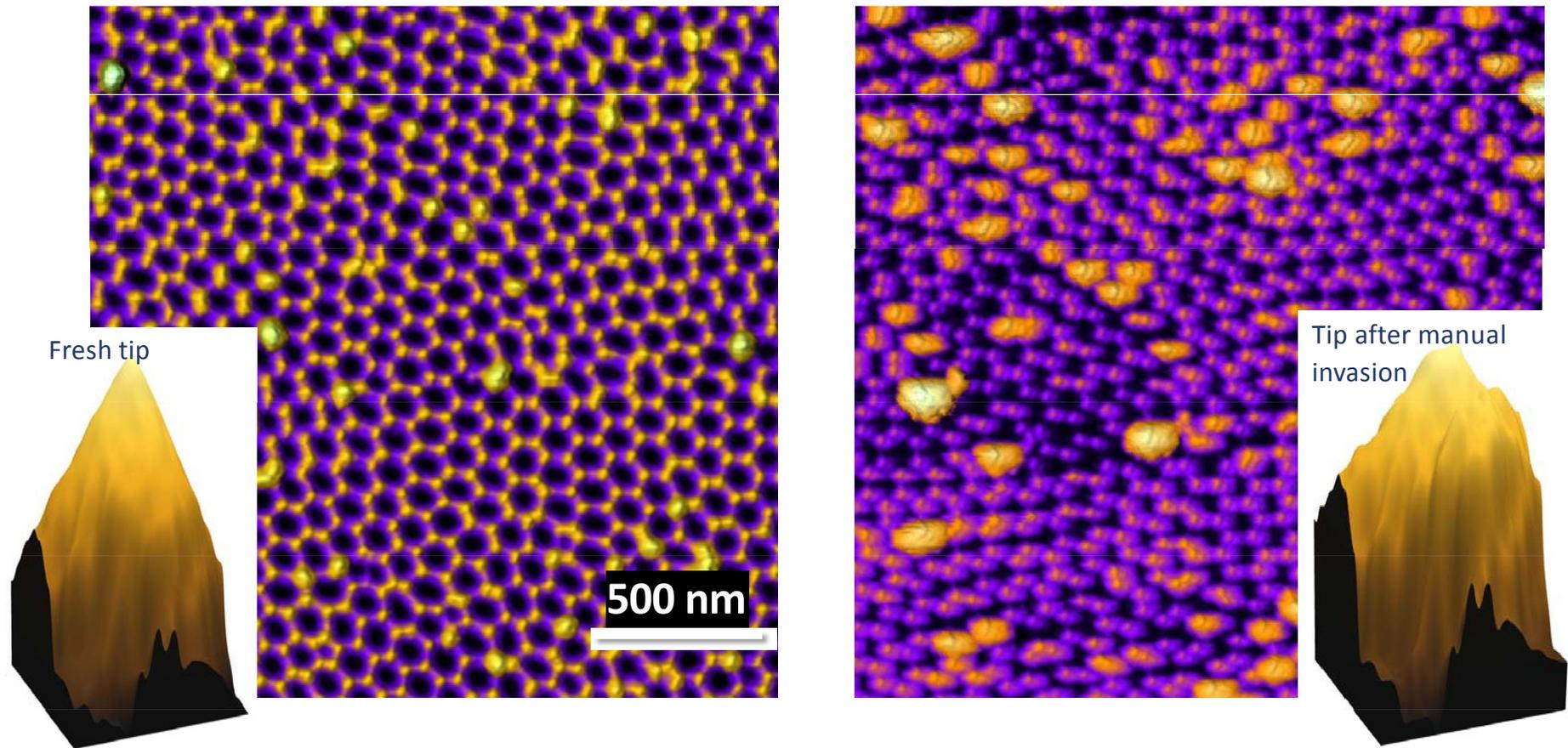


**NT-MDT**  
Spectrum Instruments



ScanT™

# Scan Tronic: Examples of application



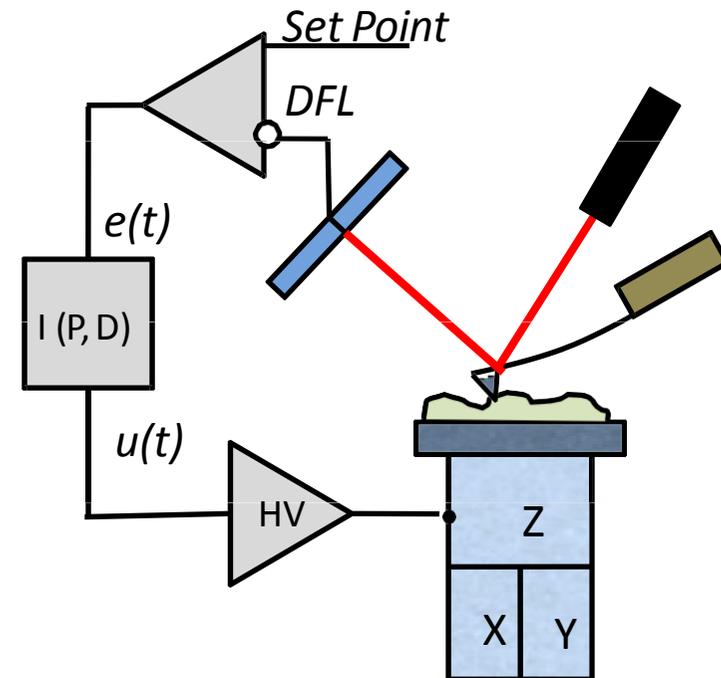
$\text{Al}_2\text{O}_3$  - "Grater" sample for tips.  
Left – topography, ScanT – used, right – manual attempt to adjust scanning parameters



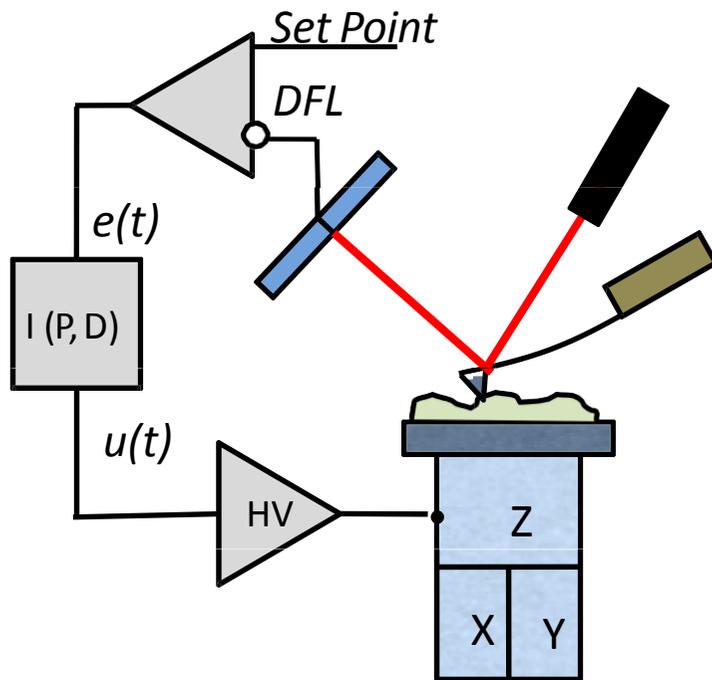
Rapid Scan

# Fast scanning and High-speed scanning

- **"High-speed AFM"** is usually referred to video-rate AFM, working with the scan speed of 10 – 100 frames per second:
  - Small sample size
  - Topography imaging only
  - Very complex to operate with
  - Small FOV
- **"Fast scan AFM"** is usually referred to
  - Smaller FOW (compare to regular AFM)
  - Scan rates  $\sim$  10 lines per second

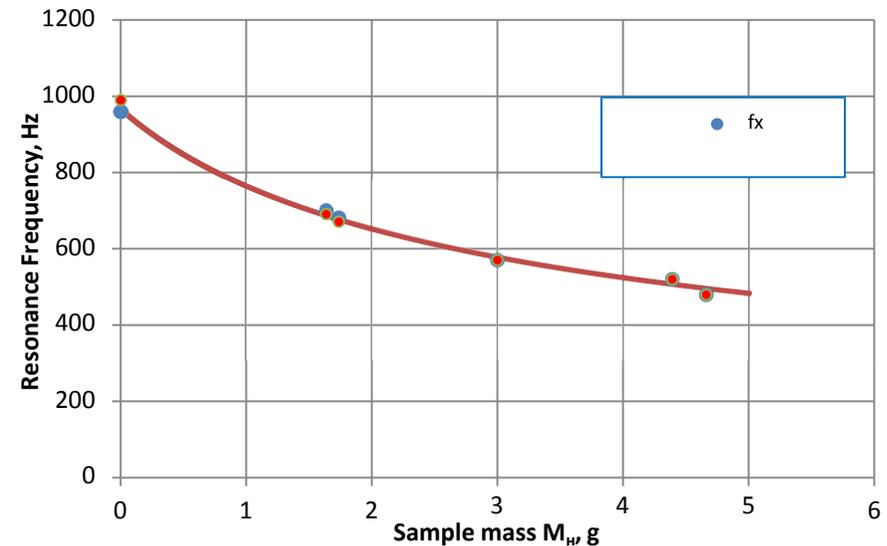


# Fast scanning

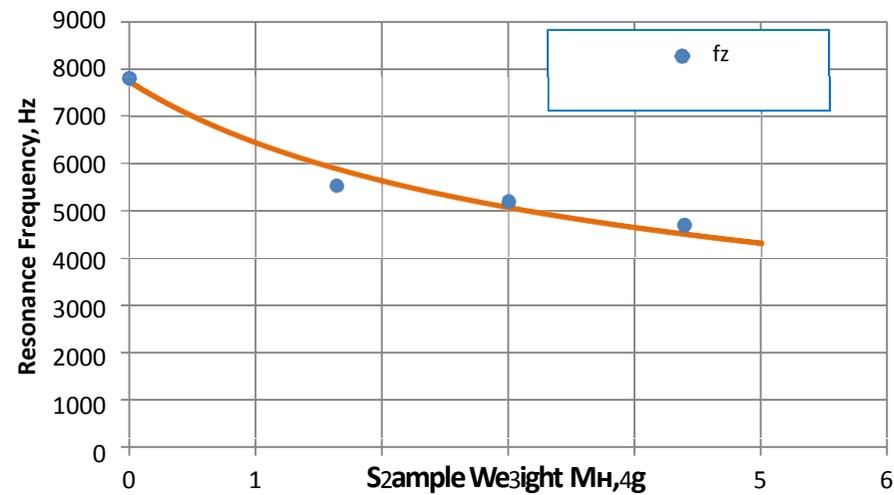


$$f_R = \frac{1}{2\pi} \sqrt{\frac{k}{(M_0 + M_H)}}$$

$k$  – effective spring constant of the scanner,  
 $M_0$  - effective mass of the scanner,  
 $M_H$  - mass of load



Scanner resonant frequency (XY)

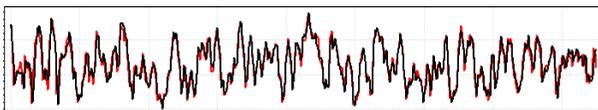
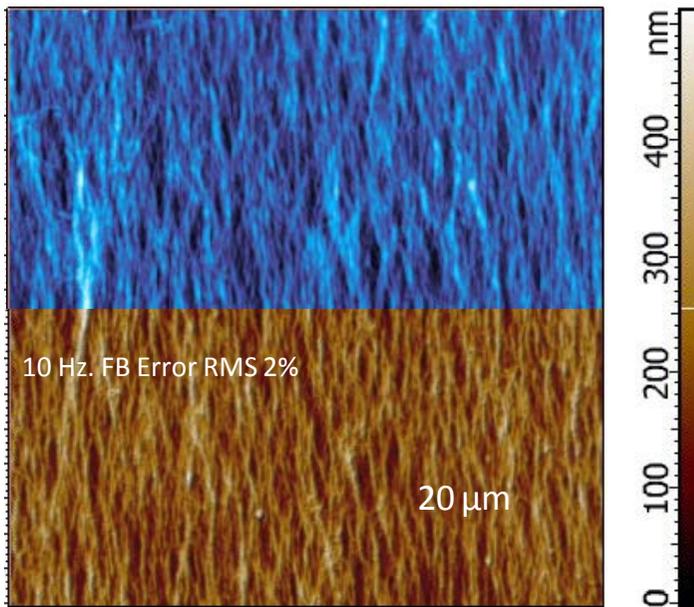


Scanner resonant frequency (Z)

# Rapid Scan 100

**Rapid Scan 100** technology is a combination of mechanical design and high-end digital electronic solutions which allows to speed up your AFM by an order of magnitude keeping 90  $\mu\text{m}$  in-plane scanning range.

All three axes are equipped with high-precision closed-loop capacitive sensors.



90 $\times$ 90 $\times$ 0,5  $\mu\text{m}$  image of collagen fibers captured @ 1 & 10Hz scanning rates



# Rapid Scan 100

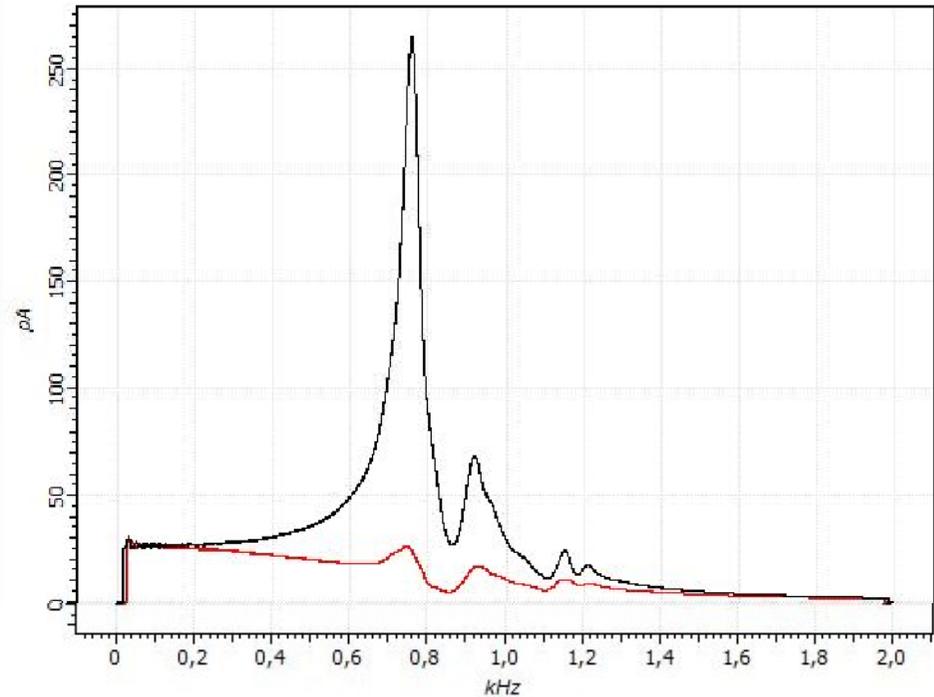
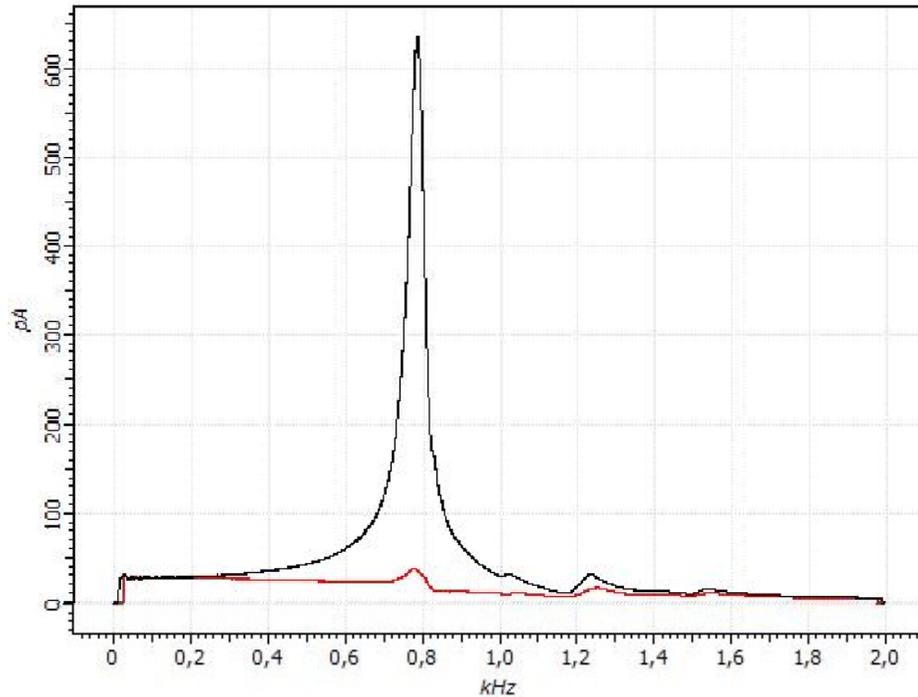
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Parameter	Value
Travel range (XY/Z), $\mu\text{m}$	90×90×4 $\pm$ 10%
Closed loop sensors (XYZ)	Capacitive
Sample size, $\varnothing$ mm	15
Vertical noise floor, pm	30
XY position noise (Closed Loop), nm	0,1
Nonlinearity, %	0,1
Resonance Frequency (XY/Z), kHz	0,8/12
Active resonance damping	+

# Rapid Scan 100: damping of scanner resonance



Damping of X (left) and Y (right) scanner resonances by digital filtration

# Summary

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- **ScanT™** technology allows to eliminate common AFM artefacts and drastically improve quality of AFM results
- **ScanT™** is lowering significantly cantilever consumption for any AFM lab
- Together with **ScanT™**, **RapidScan™** technology increase scan rates up to ~ 10 times without compromise with maximum XY visible area

# Acknowledgements

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- **Dr. Yury Bobrov**
- **Pavel Vinar**
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- **Dr. Stanislav Leesment**



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Thank you!

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