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# Influence of the substrate platform on the opto-electronic properties of multi-layer organic light-emitting field-effect transistors

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Received 15 February 2011, in final form 3 March 2011

Published 13 May 2011

Online at [stacks.iop.org/JPhysD/44/224018](http://stacks.iop.org/JPhysD/44/224018)

## Abstract

In this paper, we present a study of the effects of the influence of the substrate platform on the properties of a three-layer vertical hetero-junction made of thin films of  $\alpha$ ,  $\omega$ -diperfluorohexyl-4T (DHF4T), a blend of tris(8-hydroxyquinoline)aluminium (Alq3) and 4-(dicyanomethylene)-2-methyl-6-(*p*-dimethylaminostyryl)-4H-pyran (DCM) and  $\alpha$ ,  $\omega$ -dihexyl-quaterthiophene (DH4T). The hetero-junction represents the active component of an organic light-emitting transistor (OLET). The substrate platforms investigated in this study are glass/indium-tin-oxide/poly(methyl-methacrylate) (PMMA) and Si<sup>++</sup>/silicon oxide (SiO<sub>2</sub>)/PMMA. The first platform is almost completely transparent to light and therefore is very promising for use in OLET applications. The second one has been chosen for comparison as it employs standard microelectronic materials, i.e. Si<sup>++</sup>/SiO<sub>2</sub>. We show how different gate materials and structure can affect the relevant field-effect electrical characteristics, such as the charge mobility and threshold voltage. By means of an atomic force microscopy analysis, a systematic study has been made in order to correlate the morphology of the active layers with the electrical properties of the devices.

(Some figures in this article are in colour only in the electronic version)

## 1. Introduction

Recently, organic electronics and opto-electronics have reached outstanding scientific and technological advancements. The enormous attention to plastic electronics derives from the capability to produce low-cost, large-area, lightweight and flexible devices in order to integrate functionalities currently accomplished using more expensive conventional semiconductors and components [1]. Devices such as organic light-emitting diodes (OLEDs) and organic

field-effect transistors (OFETs) [2] have been widely studied and developed. A novel emerging class of opto-electronic devices is represented by organic light-emitting transistors (OLETs), which combine, in a single device, the electrical switching functionality of a field-effect transistor and the capability of light generation [3, 4].

In this work we report on the opto-electronic characteristics of OFET and OLET devices fabricated on two different substrate platforms: Si<sup>++</sup>/silicon oxide (SiO<sub>2</sub>)/poly(methyl-methacrylate) (PMMA) and glass/indium-tin-oxide (ITO)/PMMA. The aim is to compare the effect of the two substrate platforms on the tri-layer vertical hetero-junction structure,

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already successfully used in OLETs as reported in a previous work of our group [5].

One of the aspects that influences the organic film formation is the interface with the dielectric, i.e. the wetting properties of the organic material. For this reason, to study the way in which the gate material can affect the device characteristics, we have chosen two substrate platforms where the top layer is always constituted of PMMA. In this way, it is possible to exclude any interface effect that could affect the film formation and thus the device properties. Si<sup>++</sup>/SiO<sub>2</sub> has been considered as it is the most commonly employed gate structure in OFETs, while ITO is more relevant for OLET applications being transparent to the emitted light. Firstly, we show data obtained on single-layer OFETs made of  $\alpha$ ,  $\omega$ -diperfluorohexyl-quaterthiophene (DHF4T) and  $\alpha$ ,  $\omega$ -dihexyl-quaterthiophene (DH4T). Then we present data of bi-layer vertical hetero-junction OFETs using DHF4T grown on PMMA and DH4T on top. Finally, a tri-layer OLET is fabricated by employing a blend of tris(8-hydroxyquinoline)aluminium (Alq3) and 4-(dicyanomethylene)-2-methyl-6-(*p*-dimethylaminostyryl)-4H-pyran (DCM) for the recombination layer placed in between DHF4T and DH4T. Atomic force microscopy (AFM) is used to analyse the morphology of the different layers of the hetero-junction grown on both substrate platforms, in order to correlate the film morphology with the opto-electronic properties of the devices.

## 2. Experimental section

### 2.1. Materials

DH4T and DHF4T are employed as hole-transporting and electron-transporting layers, respectively. They are supplied by Polyera (ActivInk P0400 and ActivInk N0700) and used as received without any additional purification.

PMMA is employed as gate dielectric and is purchased by Allresist GmbH. On Si<sup>++</sup>/SiO<sub>2</sub> we have used the same PMMA used for glass/ITO substrates, but with diluted formulation (Allresist 176.09 on Si<sup>++</sup>/SiO<sub>2</sub> and Allresist 669.06 on glass/ITO), in order to obtain the same dielectric/organic interface with a thinner film (about 1/4 of PMMA thickness deposited on ITO). In this way electron trapping by OH<sup>-</sup> group at the SiO<sub>2</sub> surface is prevented. The SiO<sub>2</sub> layer thickness is about 300 nm and the overall dielectric capacity is about 10 nF cm<sup>-2</sup>.

The host-guest system for the recombination layer is composed of Alq3 (host) purchased from Aldrich and DCM (guest dye) purchased from Exciton.

### 2.2. Device fabrication

Both the OFET devices, on glass/ITO/PMMA and Si<sup>++</sup>/SiO<sub>2</sub>/PMMA, are fabricated in a bottom gate-top contact configuration (BG-TC).

In order to prepare the substrates for device fabrication, it is crucial to perform a cleaning procedure of the substrates in order to remove any possible organic contamination. The

procedures are described as follows:

- For glass/ITO substrates, the cleaning consists of multiple exposures to acetone bath, followed by an exposure to isopropanol bath.
- For Si<sup>++</sup>/SiO<sub>2</sub> substrates, the substrate cleaning procedure consists of sequential sonication in dichloromethane, acetone, ethanol and ultra-high pure (UHP) water.

The device fabrication procedure is the same for both substrates and consists of a deposition of a layer of PMMA [6] by spin-coating it at 6000 rpm for 1 min under inert atmosphere. The PMMA film is then thermally annealed in a nitrogen glove box at  $T = 130$  °C, above the  $T_g$  of bulk PMMA (120 °C) [7]. The achieved thicknesses of PMMA are 120 nm on Si<sup>++</sup>/SiO<sub>2</sub> substrates and 450 nm for glass/ITO substrates.

The active organic layers are sublimed in high vacuum at a base pressure of 10<sup>-6</sup> mbar in a chamber directly connected to a dry nitrogen glove box. DHF4T and DH4T are both deposited at a rate of 0.1 Å s<sup>-1</sup> to achieve a thickness of 7 nm and 15 nm, respectively. Alq3 : DCM 3% blend is co-sublimed at different rates (2 Å s<sup>-1</sup> for Alq3 and 3 Å min<sup>-1</sup> for DCM) to form a layer thickness of 40 nm. Gold electrodes have been evaporated at 1 Å s<sup>-1</sup> with a thickness of 50 nm.

The thickness of the active layers in the single-layer and bi-layer devices are the same as in the tri-layer configuration.

On glass/ITO substrates, the OLETs have a channel length of 70  $\mu$ m and a channel width of 1.5 cm, while on Si<sup>++</sup>/SiO<sub>2</sub> substrates they have a channel length of 150  $\mu$ m and a width of 1 cm.

### 2.3. Opto-electronic characterization

Electrical measurements were performed by means of a Suss PM5 professional probe station located inside a dry inert glove box and connected to an Agilent B1500A parametric analyser. Electro-luminescence (EL) was collected through the substrate in ITO/PMMA devices and from the top side in Si<sup>++</sup>/SiO<sub>2</sub>/PMMA devices.

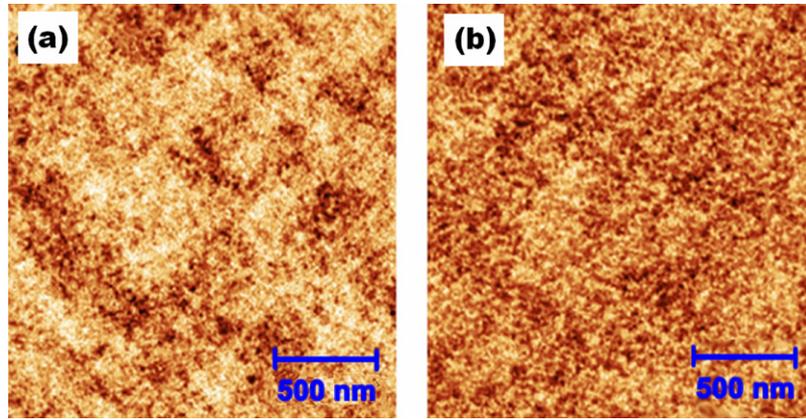
### 2.4. Morphology investigation

The morphological analysis has been performed by means of a NT-MDT Solver Pro AFM working in tapping mode. The images presented here are all with a resolution of 512  $\times$  512 pixels and correspond to films with the same thickness values.

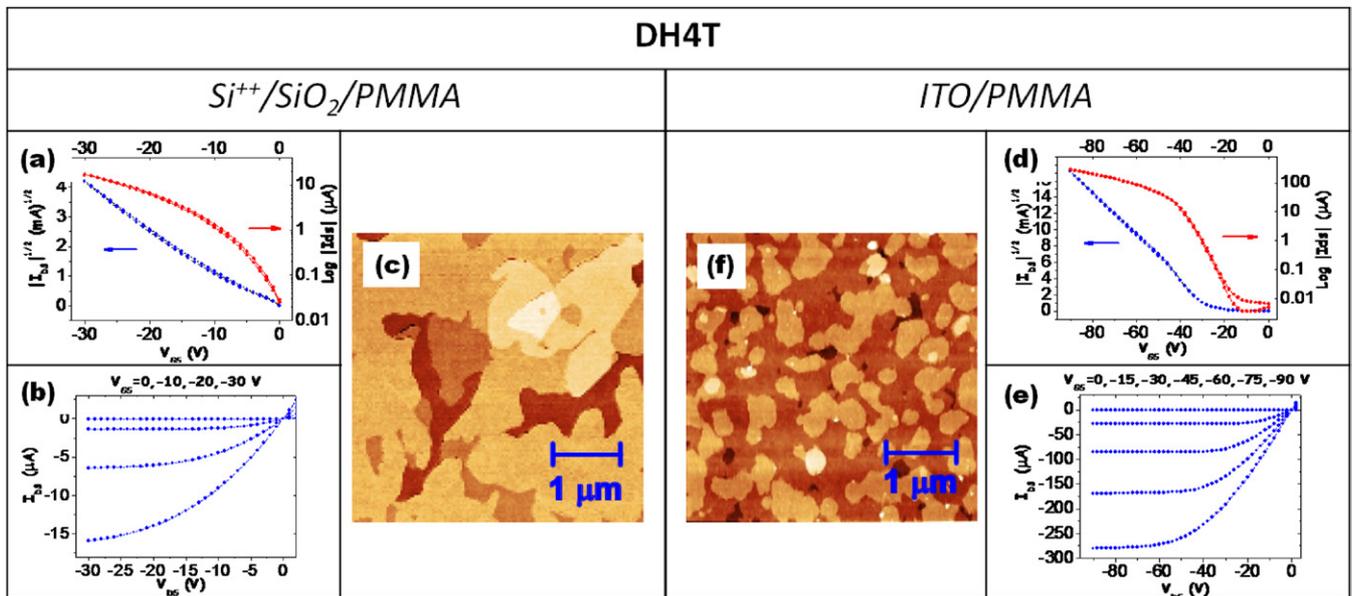
## 3. Results and discussion

To investigate, quantitatively, possible differences in terms of roughness of the two system surfaces (Si<sup>++</sup>/SiO<sub>2</sub>/PMMA and ITO/PMMA), first of all an AFM analysis of the top layers has been performed (see figure 1). The root-mean-square (RMS) measured showed a value of 0.35 nm for the Si<sup>++</sup>/SiO<sub>2</sub>/PMMA (figure 1(a)) and 0.5 nm for ITO/PMMA (figure 1(b)) surfaces. Thus the roughness parameter of the two system is very similar and it should not affect the organic film growth.

With these premises, we investigated the behaviour of the two transport materials, in a single-layer OFET device,



**Figure 1.** AFM images of the PMMA on SiO<sub>2</sub> surface (a) and PMMA on ITO surface (b).



**Figure 2.** Comparison between electrical characteristics and morphological features of DH4T single-layer OFET on Si<sup>++</sup>/SiO<sub>2</sub>/PMMA substrate (left side) and on glass/ITO/PMMA substrate (right side). The electrical curves of both sides represent, respectively, the  $I-V$  output curve (b), (e), the square root of the locus curve (blue dots of (a) and (d), left Y-axis), the transfer curve in the saturation regime (red dots of (a) and (d), right Y-axis expressed in logarithm scale).

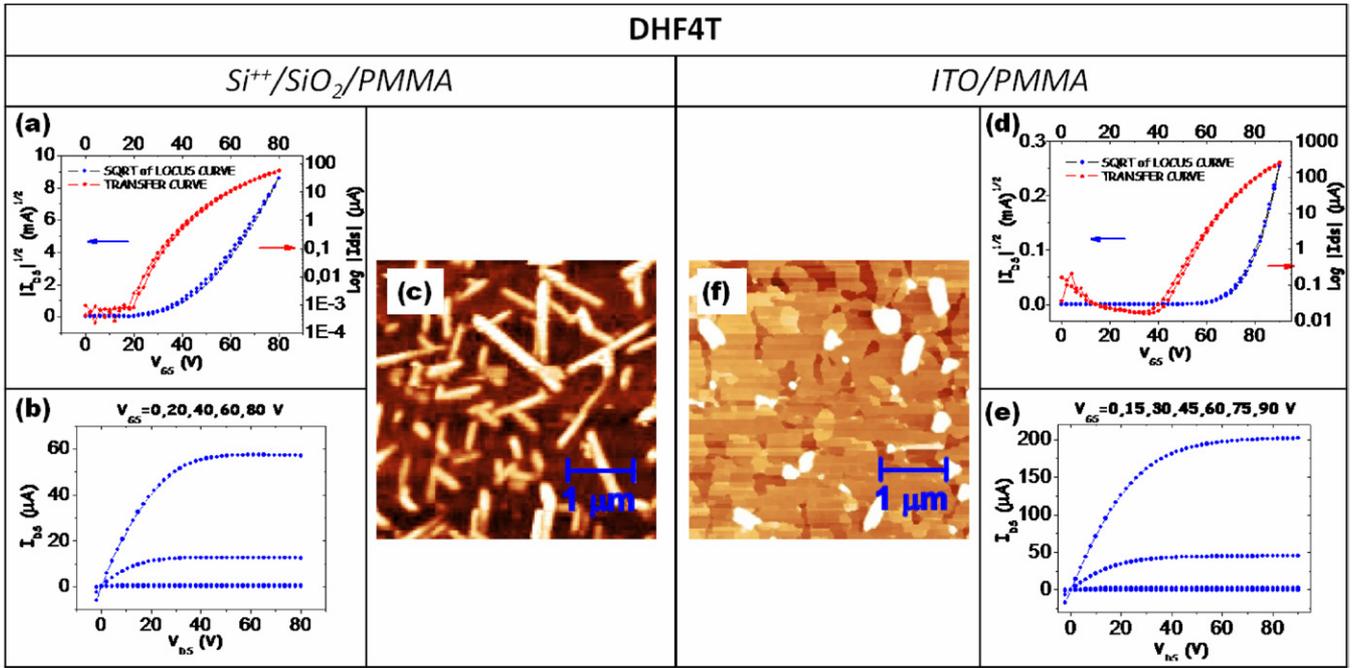
fabricated on both platforms, in order to obtain a better correlation of the effects of the different substrate platforms in a more complex device structure like the tri-layer heterojunction. In figure 2 are shown the typical  $I-V$  curves for an OFET made of a DH4T film of 15 nm together with its morphology, on both platforms. The mobility value ( $\mu$ ), calculated from the locus curve of the OFET, on Si<sup>++</sup>/SiO<sub>2</sub>/PMMA is  $\mu_p = 0.04 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ , the threshold voltage ( $V_{th}$ ) =  $-4 \text{ V}$ . On the ITO platform, instead, the measured values are  $\mu_p = 0.09 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$  and  $V_{th} = -25 \text{ V}$  [8]. The electrical curves (locus and transfer) in both platforms present nearly no hysteresis between the forward and backward branches. The AFM images of the two systems show that in both platforms a very good bi-dimensional (2D) growth and crystallinity has been achieved.

On ITO/PMMA the growth of islands seems slightly more isotropic compared with the Si<sup>++</sup>/SiO<sub>2</sub>/PMMA case. Moreover, ITO/PMMA device shows a better mobility compared with Si<sup>++</sup>/SiO<sub>2</sub>/PMMA but a higher  $V_{th}$  value.

Among the many possible explanations that one could consider to interpret the observed differences in these two cases, we now briefly discuss the two most likely ones.

In the first hypothesis, it is possible that the SiO<sub>2</sub> layer influences the electrostatic characteristics of the PMMA surface, thus affecting the molecular organization (in terms of packing and defect density) of DH4T and making it different with respect to the DH4T film grown on ITO/PMMA.

In the second hypothesis, it might be considered that  $\mu_p$  is inversely proportional to the relative permittivity ( $\epsilon_r$ ), ( $\mu = f(\epsilon_r^{-1})$ ), as demonstrated by Stassen *et al* in the case of rubrene on dielectrics with different permittivity values [9]. In our case, indeed, the two dielectrics considered (PMMA single layer versus SiO<sub>2</sub>/PMMA) have the same measured capacitance per unit area (about  $10 \text{ nF cm}^{-2}$ ). However, since they have different internal structures and thicknesses, it results that the calculated  $\epsilon_r$  of PMMA in single layer is 3.6, while the  $\epsilon_r$  of SiO<sub>2</sub>/PMMA is 5.1 (when considered that this system is constituted by two capacitors in series). Finally, one effect



**Figure 3.** Comparison between electrical characteristics and morphological features of DHF4T single-layer OFET on Si<sup>++</sup>/SiO<sub>2</sub>/PMMA substrate (left side) and on glass/ITO/PMMA substrate (right side). The electrical curves of both sides represent, respectively, the *I*–*V* output curve (b), (e), the square root of the locus curve (blue dots of (a) and (d), left *Y*-axis), the transfer curve in the saturation regime (red dots of (a) and (d), right *Y*-axis expressed in logarithm scale).

does not exclude the other from occurring. Therefore, both the effects may take place and generate the observed experimental behaviour.

In figure 3 are shown the electrical curves and the AFM images of a single-layer OFET made of a 7 nm thick film of DHF4T. The calculated electrical values are for Si<sup>++</sup>/SiO<sub>2</sub>/PMMA,  $\mu_n = 0.2 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$  and  $V_{th} = 43 \text{ V}$  and for ITO/PMMA,  $\mu_n = 0.55 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$  and  $V_{th} = 65 \text{ V}$ . Also in this case, the two platforms behave in a similar way as for DH4T. ITO/PMMA presents the best results in terms of mobility, while the Si<sup>++</sup>/SiO<sub>2</sub>/PMMA has the lowest  $V_{th}$ . For DHF4T, a comparison between the AFM images shows a substantial morphological difference between the two films, in contrast to what occurs for DH4T. In the ITO/PMMA case, indeed, a better coverage of the substrate with larger 2D islands is present, while on Si<sup>++</sup>/SiO<sub>2</sub>/PMMA DHF4T shows the tendency to grow forming tri-dimensional crystals.

In order to have a deeper understanding of the difference in terms of DHF4T growth morphology, we also performed contact angle measurements of the underneath PMMA surfaces of the two different substrates. However, the measurements provided very similar results, with an angle of 60°. Most likely, there must be some microscopic effect on the surfaces that affected the growth modality of DHF4T, and that AFM analysis and contact angle measurements were unable to discriminate. High resolution microscopic studies will be needed in order to investigate this main issue, but this goes beyond the scope of this paper.

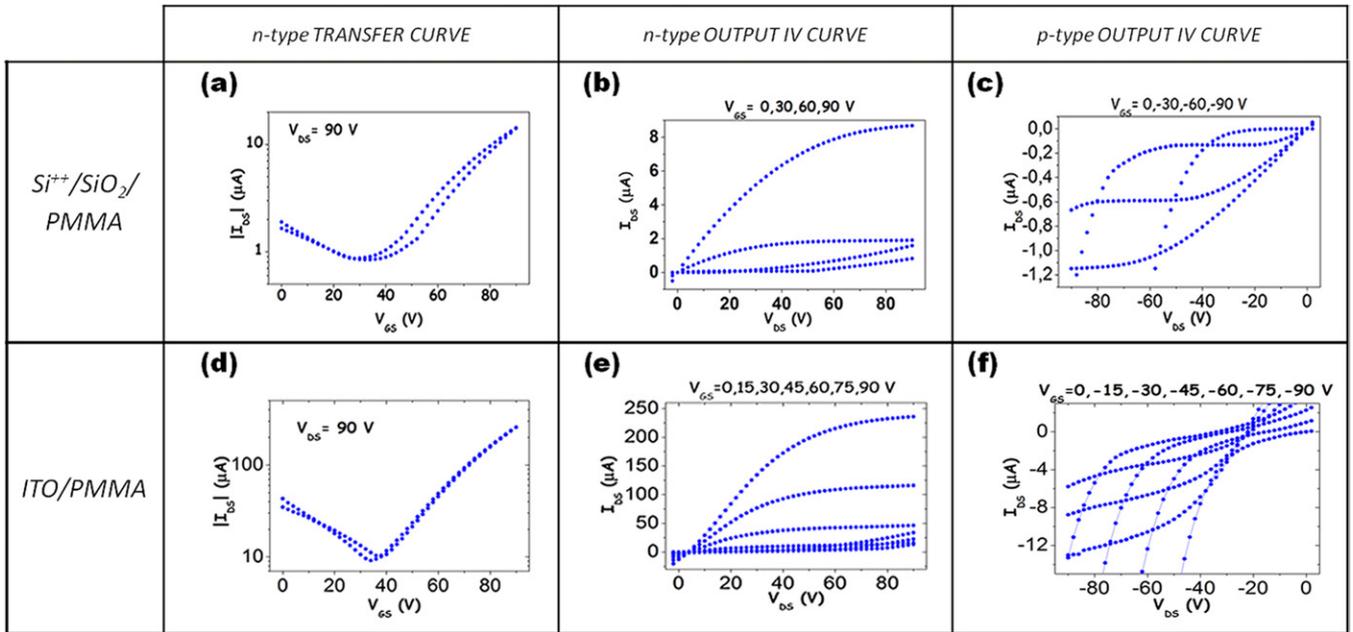
Before moving to the complete tri-layer device on the two platforms, we have performed another preliminary study in order to first analyse the system behaviour in a simpler structure. For this reason we have made bi-layer vertical

hetero-junction OFETs as follows: 15 nm thick DH4T film grown on a 7 nm thick DHF4T layer. The electrical curves of the devices are reported in figure 4. The calculated mobility and  $V_{th}$  values are  $\mu_n = 0.02 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ ,  $V_{th} = 31 \text{ V}$  and  $\mu_p = 3 \times 10^{-3} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ ,  $V_{th} = -13 \text{ V}$ , for Si<sup>++</sup>/SiO<sub>2</sub>/PMMA;  $\mu_n = 0.01 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ ,  $V_{th} = 40 \text{ V}$  and  $\mu_p = 0.01 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ ,  $V_{th} = -47 \text{ V}$ , for ITO/PMMA. In both cases, the results show a good ambipolar behaviour, in particular for ITO/PMMA, that can be considered comparable to the best results reported in the literature for ambipolar OFETs [10]. The output and transfer characteristics of the two platforms show a good balance between electrons and holes, although the best one is still on ITO/PMMA. The good ambipolarity is highlighted by the presence of the typical ‘V’-shaped transfer curve in the logarithm scale, located in the intermediate range bias.

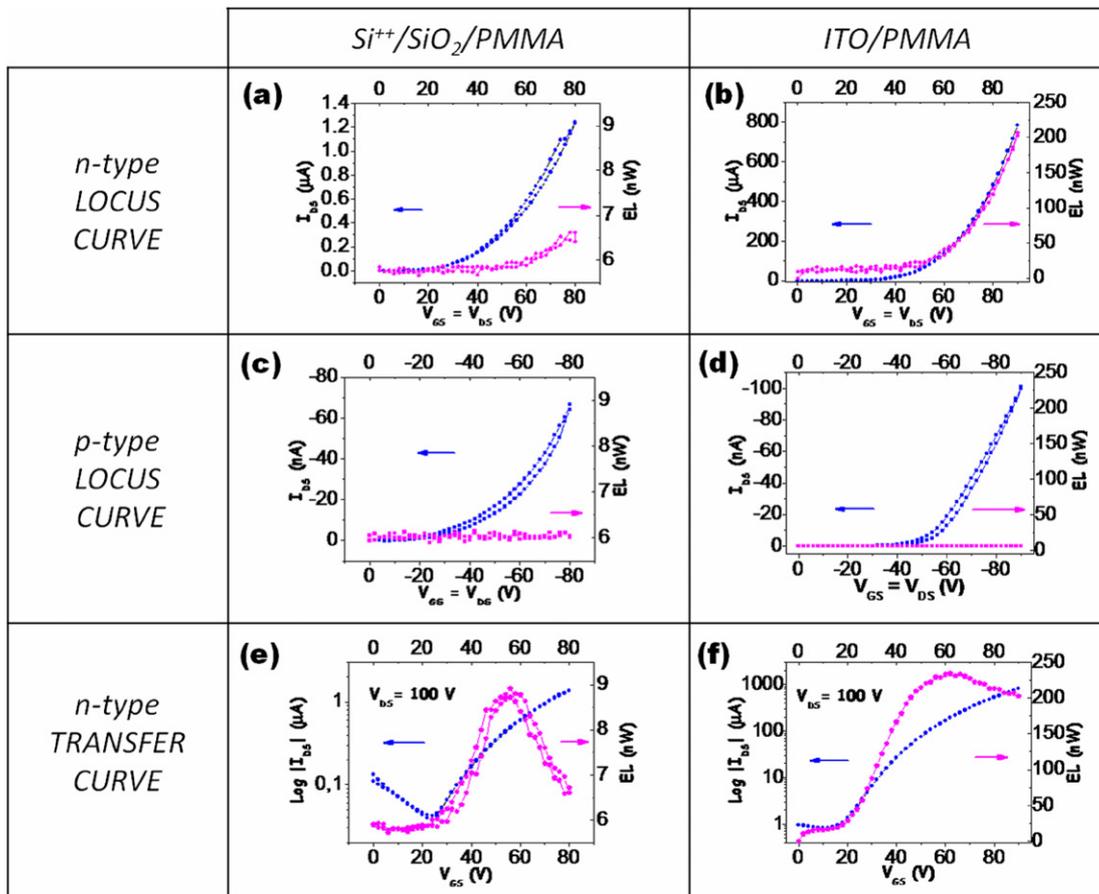
As for single-layer data, also in bi-layer OFETs  $V_{th}$  of Si<sup>++</sup>/SiO<sub>2</sub>/PMMA devices is lower than that of ITO/PMMA ones. Moreover, in both cases the electron mobility decreases considerably with respect to the single-layer devices. Likely, this effect is due to a charge injection barrier formed by the presence of the DHF4T/DH4T interface.

Finally we show the data of a tri-layer vertical hetero-junction device fabricated on the two platforms and compare the different behaviours. [5]. It must be noted that since the light detection in the Si<sup>++</sup>/SiO<sub>2</sub>/PMMA platform cannot be performed through the glass substrate, as instead is the case for the ITO/PMMA platform, it is possible to compare only the two EL emission behaviours but not their absolute intensities.

The electrical locus and transfer curves are reported in figure 5. Mobility and  $V_{th}$  values of Si<sup>++</sup>/SiO<sub>2</sub>/PMMA,

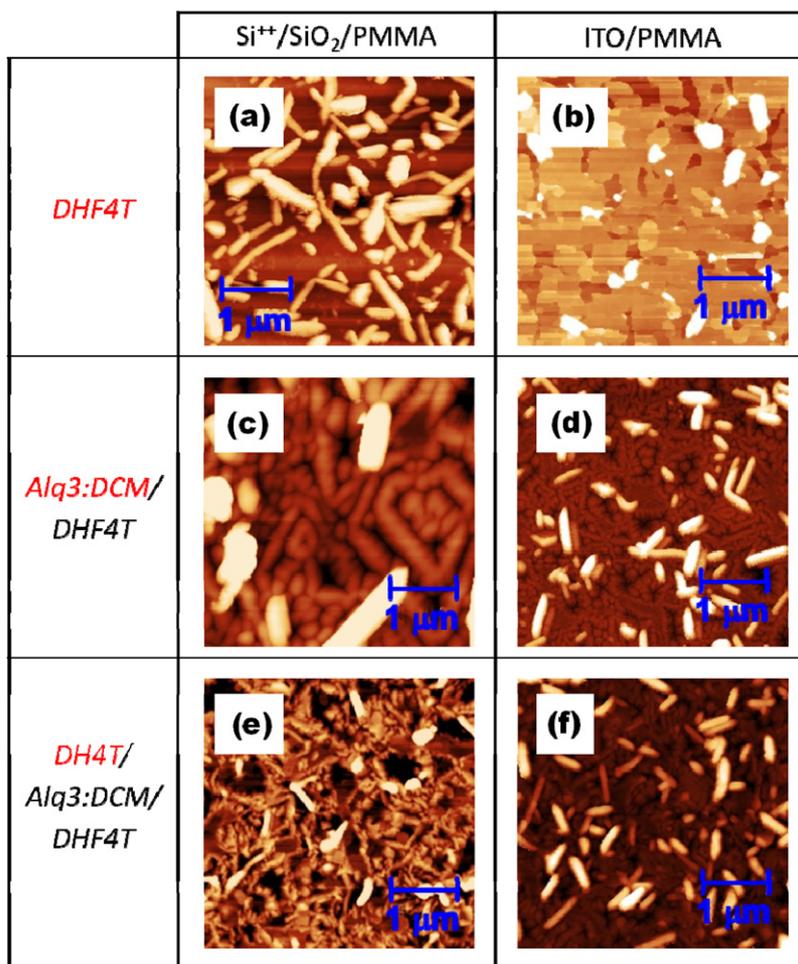


**Figure 4.** Electrical curves of a bi-layer OFET of DHF4T/DH4T on  $Si^{++}/SiO_2/PMMA$  (upper row) and on glass/ITO/PMMA substrates (lower row).



**Figure 5.** Electrical curves of tri-layer OLETs on  $Si^{++}/SiO_2/PMMA$  (left column) and on ITO/PMMA (right column). The blue dots represent the drain–source current while the purple dots represent the EL intensity.

calculated from the  $I$ – $V$  curves, are  $\mu_p = 6 \times 10^{-5} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ ,  $V_{th} = -20 \text{ V}$  and  $\mu_n = 1 \times 10^{-3} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ ,  $V_{th} = 10 \text{ V}$ . Mobility and  $V_{th}$  voltage on ITO/PMMA platform are  $\mu_p = 5 \times 10^{-5} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ ,  $V_{th} = -40 \text{ V}$  and  $\mu_n = 0.5 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ ,  $V_{th} = 34 \text{ V}$ . From the electrical point of view, the poor *n*-type transport on



**Figure 6.** AFM pictures of organic layers of the tri-layer OLET on SiO<sub>2</sub>/PMMA (left column) and on ITO/PMMA (right column) substrates. The material name, highlighted in red (left column), refers to the images of the same row. (a), (b) 7 nm-thick DHF4T layer on top of the two different substrates. (c), (d) Images of a 40 nm thick film of Alq3 : DCM (3%) blend on top of DHF4T layer, and (e), (f) DH4T films grown on top of the Alq3 : DCM (3%) layers reported in (c), (d).

Si<sup>++</sup>/SiO<sub>2</sub>/PMMA compared with the one on ITO/PMMA must be noted that is similar to that of the single-layer device. The p-type mobility, instead, is very low for both systems. In both cases a large difference between the hole and electron currents is present. Finally, also in the tri-layer case, Si<sup>++</sup>/SiO<sub>2</sub>/PMMA shows a lower  $V_{th}$  for both charge carriers, compared with the ITO/PMMA counterpart.

As mentioned previously, an explanation for the lower threshold voltage on Si<sup>++</sup>/SiO<sub>2</sub>/PMMA might be that there is a different interaction between the gate materials of the two systems and the organic channel, enhanced by the difference in PMMA thickness [11]. When the devices are operated in the unipolar regime (figures 5(a)–(d)) only one type of charge carrier is flowing in the device channel region. In both devices light emission is detected only in correspondence of the electron transport of the lower DHF4T layer. In this case, light formation is due to a diode-like mechanism that occurs when charge carriers recombine at the drain electrode [12, 13]. When the devices are biased in the ambipolar region, thus allowing the simultaneous injection of p- and n-type charges, the behaviour is represented by the transfer curve (figures 5(e) and (f)) in the logarithm scale. In this case, in contrast to what

happens in the bi-layer devices, for ITO/PMMA platform there is a very high imbalance between hole and electron transport, and thus there is not a completely formed ‘V’-shaped curve. Instead, on Si<sup>++</sup>/SiO<sub>2</sub>/PMMA platform, the two charge carriers are more balanced, although with a lower mobility with respect to the ITO/PMMA case, and thus the transfer curve has a better ‘V’-shaped characteristic.

Considering the EL emissions in ambipolar regions, it appears that in both cases there is a different light generation mechanism with respect to the unipolar case. It has been shown in the literature that in ambipolar OLETs, charge recombination and the light generation process take place inside the channel far from the electrodes [14], preventing photon losses and exciton-metal quenching. On both platforms, evidence of the presence of this EL generation mechanism is represented by the higher light emission intensity in the ambipolar region of the transfer curves, compared with the intensity of the light emission in the unipolar locus curves, as well as a lower turn on voltage of the EL emission. Moreover, the more the two charge currents are balanced, the more the light emission peak will be located in correspondence of the maximum of ambipolarity

(that corresponds to the minimum of the 'V'-shaped transfer curve). On Si<sup>++</sup>/SiO<sub>2</sub>/PMMA devices, this effect is more pronounced than on ITO/PMMA tri-layer, since electron and hole currents are more balanced and threshold voltage is lower (see figure 5(e)).

AFM images taken on each layer of the structure for both systems are reported in figure 6. The morphological features of the films on the two platforms are very similar except for the fact that the DHF4T film deposited on ITO/PMMA forms a better 2D layer with respect to the one on SiO<sub>2</sub>/PMMA. This growth difference is at the basis of the reason why n-type transport on SiO<sub>2</sub>/PMMA is so degraded. As a consequence, the 40 nm thick film of Alq<sub>3</sub>:DCM grown on DHF4T on SiO<sub>2</sub>/PMMA (shown in figure 6(c)) also has a larger 3D globular aggregation compared with ITO/PMMA (figure 6(d)), and this affects also the growth of the 20 nm thick DH4T layer on it (figure 6(e)), preventing a good layer-by-layer growth.

#### 4. Conclusions

We reported a comparative study between OFET and OLET devices grown on two different substrate platforms, glass/ITO/PMMA and Si<sup>++</sup>/SiO<sub>2</sub>/PMMA. We aimed at investigating the possible macroscopic effect induced on the device opto-electronic properties by different gate materials and structures. For this study we implemented a tri-layer OLET structure on two different substrate platforms. As a preliminary study, single-layer and bi-layer devices have been fabricated and investigated.

From the electrical point of view, the best results in terms of mobility are obtained with the ITO/PMMA platform, while Si<sup>++</sup>/SiO<sub>2</sub>/PMMA devices have the lowest threshold voltages. This behaviour has been confirmed in single-layer OFET made of DH4T and DHF4T and on bi-layer vertical hetero-junction OFETs made of DHF4T/DH4T.

Then a tri-layer OLET was fabricated on Si<sup>++</sup>/SiO<sub>2</sub>/PMMA and its opto-electronic characteristics have been compared with those of ITO/PMMA devices. In agreement with previous results on ITO/PMMA tri-layer OLETs grown on silicon confirmed that EL emission is generated under the electrode when the device is biased in n-type unipolar region, and inside the channel when it is biased in the ambipolar regime. The p-type and n-type mobility values of Si<sup>++</sup>/SiO<sub>2</sub>/PMMA tri-layer OLETs are lower compared with the ITO/PMMA ones, especially for the n-type, but they are more balanced.

Moreover, also in this case, the silicon tri-layer devices show lower  $V_{th}$  compared with the ITO/PMMA ones. From AFM analysis it results that DHF4T on Si<sup>++</sup>/SiO<sub>2</sub>/PMMA grows in a 3D fashion, unlike DHF4T on ITO/PMMA that grows in a 2D layer-by-layer modality, at least for the first few mono-layers. This also affects the growth of all the successive layers and is likely the main reason for the mobility on silicon to be lower. These findings confirm that a good dielectric/organic interface is necessary for achieving good opto-electronic properties, but they also highlight that other effects related to the gate materials and structure can also affect the working characteristics of the devices.

#### Acknowledgments

Financial support from Italian MIUR projects FIRBR-BIP06YWBH (NODIS), and FIRB-RBIP0642YL (LUCI), Italian MSE project Industria 2015 (ALADIN), EU projects PF6 035859-2 (BIMORE), FP7-ICT- 248052 (PHOTO-FET) and FP7-ICT- 247928 (LAMP) is acknowledged. We thank A Facchetti from Polyera Co. for supplying DH4T and DHF4T.

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